Advocate's Guide to the Florida Long-Term Care Medicaid Waiver

Prepared by:

Miriam Harmatz
Executive Director
Florida Health Justice Project

Katy DeBriere
Legal Director
Florida Health Justice Project

Timothy Loftus, M.D.
Michelle Adams
Research Assistants
Florida Health Justice Project

Jocelyn Armand
Advocacy Director
Legal Services of Greater Miami
# TABLE OF CONTENTS:

**ACKNOWLEDGMENTS** ......................................................................................................................................................... 3

**SECTION ONE: INTRODUCTION** ........................................................................................................................................... 5
WHY THIS GUIDE? ........................................................................................................................................................................... 5
PURPOSE OF THE GUIDE .................................................................................................................................................................. 5

**SECTION TWO: BACKGROUND** ............................................................................................................................................... 5
WHAT ARE MEDICAID WAIVERS? ................................................................................................................................................ 5
HISTORY AND CURRENT STATUS OF FLORIDA’S LONG-TERM CARE WAIVER ........................................................................ 6

**SECTION THREE: WAIVER OVERVIEW** ................................................................................................................................. 7
DIFFERENT AGENCIES ................................................................................................................................................................. 7
WAIVER POPULATIONS .................................................................................................................................................................... 8
ROLE OF THE MANAGED CARE PLAN ........................................................................................................................................ 9

**SECTION FOUR: WHAT ELIGIBILITY STANDARDS APPLY TO THE LTC PROGRAM?** .................................................. 9
CLINICAL ........................................................................................................................................................................................... 9
FINANCIAL ..................................................................................................................................................................................... 9

**SECTION FIVE: WHAT IS THE APPLICATION PROCESS?** ......................................................................................................... 10
APPLICATION STEPS...................................................................................................................................................................... 10

**SECTION SIX: WHAT IF APPLICATION IS DENIED OR DELAYED?** .................................................................................. 15
INITIAL ASSESSMENT/PRIORITY RANK .................................................................................................................................. 15
AFTER RELEASE FROM WAITING LIST ..................................................................................................................................... 15

**SECTION SEVEN: PLAN ENROLLMENT** ................................................................................................................................. 15
PICKING A PLAN ............................................................................................................................................................................... 15

**SECTION EIGHT: CHANGING PLANS/DISENROLLING**........................................................................................................... 16
“GOOD CAUSE” ............................................................................................................................................................................... 16
EXEMPTIONS FROM THE LTC WAIVER ...................................................................................................................................... 17

**SECTION NINE: CARE PLANNING** ................................................................................................................................. 17
INITIAL CONTACT ........................................................................................................................................................................... 17
PERSON-CENTERED PLANNING PROCESS ............................................................................................................................... 18
PERSON-CENTERED PLAN OF CARE ........................................................................................................................................ 18
SUPPLEMENTAL ASSESSMENT .................................................................................................................................................... 19
ROLE OF CASE MANAGEMENT ................................................................................................................................................... 19
REASSESSMENT ............................................................................................................................................................................. 20
PARTICIPANT DIRECTED SERVICES ........................................................................................................................................ 20

**SECTION TEN: WHAT SERVICES ARE COVERED** ................................................................................................................. 20
Updated Acknowledgment:

*Advocate Guides* like this need to be regularly updated: laws change, waiver documents are updated, managed care contracts are amended. Moreover, feedback from providers and consumers informs and improves the entire *Guide*, including the “Advocate Tips.” Thus, we are deeply grateful to Naomi Stanhous and the Retirement Research Foundation (RRF) whose generous support made updating this *Guide* possible. We are also grateful to Max Rothman, Executive Director of the Miami Area Alliance for the Aging. Their generous support enables us to meet with Miami area community providers and agencies that serve older residents in need of home based services.

We also want to thank the providers and agency staff who provided feedback on the *Guide*, including new and improved new “Advocate Tips.” Their real life expertise and input is critical in making the *Guide* as useful and relevant a tool as possible. As lawyers, we can provide analysis and citations for the multiple sources of authority governing Florida’s LTC waiver, but this is no substitute for the day-to-day experience in helping frail and disabled individuals obtain the medically services needed to remain safely at home.

Thanks are also due to the law students whose help in updating the Guide was invaluable: Timothy Loftus, Andrea Faverio and Brett Brummond.

Miriam Harmatz, Executive Director, Florida Health Justice Project
Katy DeBriere, Legal Director, Florida Health Justice Project

Support for December 2019 updates provided by:

The Retirement Research Foundation
Original Acknowledgment, August 2018

We want to thank Nancy Wright, a leading Florida expert on the state’s Medicaid Long-Term Care Waiver and Eric Carlson, Directing Attorney at Justice in Aging, and a leading national expert on Medicaid long term services and supports and home and community-based waivers. Not only was this Guide made possible thanks to their previous work, but they also spent hours reviewing and editing our drafts.

We also want to thank our co-authors Jocelyn Armand, Advocacy Director of Legal Services of Greater Miami and Michelle Adams for their invaluable help and support in preparing the Guide.

Thanks are also due to Valory Greenfield, staff attorney with Bay Area Legal Services Florida Senior Legal Helpline and Anne Swerlick, Florida Medicaid expert and Health Policy Analyst with the Florida Policy Institute who consulted on making the Guide more useful for Florida advocates serving seniors needing long-term services and supports; and to Joseph Schieffer and Alison DeBelder, from the Florida Justice Technology Center, who helped share this resource with the Florida advocacy community.

Finally, we are deeply grateful to Sarah Halsell, State Legal Services Developer with the Florida Department of Elder Affairs (DOEA). Sarah’s commitment to ensuring that there are critical resources for Florida’s advocates, along with financial support from the U.S. Administration for Community Living Model Approaches to Statewide Legal Assistance Systems, made this Guide possible.

Miriam Harmatz, Executive Director, Florida Health Justice Project

Katy DeBriere, Legal Director, Florida Health Justice Project
SECTION ONE: INTRODUCTION

WHY THIS GUIDE?

It goes without saying that government-subsidized health care benefits are critical for low-income Florida seniors—particularly those who are frail and disabled.

This Guide concerns one of the most important health care benefits for this population— the long-term services and supports (“LTSS”) that are essential to being able to remain in one’s home or community rather than having to receive care in a nursing home. Also known as “home and community-based services,” (“HCBS”), these include services not typically available through Medicare or standard medical insurance, such as personal care aides and private duty nursing. Nationwide, over half of people turning 65 will at some point develop a severe disability or medical condition that will require HCBS.¹

In Florida, HCBS for adults are available under the Statewide Medicaid Managed Care system. Long-term care – including both nursing home care and HCBS – are both part of Florida’s “Long-Term Care Program.”² This Guide, however, focuses exclusively on the portion of the LTC Program that provides HCBS, (the “LTC Waiver.”) While the LTC Waiver has a cap on the number of individuals served and a wait list for enrollment,³ that should not deter individuals from applying.

PURPOSE OF THE GUIDE

This Guide provides advocates with an overview of the authority governing Florida’s Medicaid Managed Care Long-term Care (LTC) Waiver and a roadmap addressing basic questions including:

- Who is eligible for the LTC Waiver
- How to apply
- What to do if an application is denied or delayed
- How does the wait list work
- What to do if eligibility is terminated
- What services are covered and how is the “care plan” developed
- How does managed care work
- What to do if services are denied, delayed, terminated or reduced

SECTION TWO: BACKGROUND

WHAT ARE MEDICAID WAIVERS?

Under waiver programs, states can “waive” certain requirements in the Medicaid Act with permission of the federal government. For example, a waiver program allows states to
provide care for people who might not otherwise be eligible under Medicaid; provide services that are not necessarily medical in nature, or implement a managed care system. Florida’s current Long-Term Care Waiver operates through two separate waivers authorized under Social Security Act Sections 1915(b) (for managed care) and (c) (HCBS).

Section 1915(c), authorizing Medicaid HCBS waivers, was enacted by Congress in 1983. HCBS waivers allow states to provide home and community support services to a specified number of individuals as an alternative to institutional care. All individuals enrolled in a HCBS waiver must meet an institutional level of care.

To facilitate these programs, the federal government can waive general Medicaid rules that programs be available throughout a state (statewideness) and to all eligibility groups (comparability), and also offer more lenient financial eligibility standards. In addition, Section 1915(b) of the Social Security Act provides authority for states to require enrollment in managed care by waiving the rule that beneficiaries are free to choose their providers.

Because states are allowed to limit enrollment in HCBS waivers, eligible individuals who meet the clinical and financial eligibility requirements for HCBS can nonetheless be put on a waiting list. By contrast, similarly eligible individuals seeking nursing home placement cannot be put on a wait list.

**HISTORY AND CURRENT STATUS OF FLORIDA’S LONG-TERM CARE WAIVER**

In 2011, the Florida Legislature established a statewide integrated managed care program for all covered services, including long-term care. The new statewide program included the “managed medical assistance (MMA) program” for delivery of primary and acute medical assistance, and the “long-term care ("LTC") managed care program.

Under a managed care delivery model, the state contracts with private entities, including managed care organizations to “manage” the health care needs of their enrollees using their own network of providers. These managed care organizations (hereafter referred to as the “Plans”) act as the gatekeepers for authorization of services and referrals to network providers for covered services.

After a public comment period, the Agency for Health Care Administration (AHCA) submitted two
waiver applications to the Center for Medicaid and Medicare Services, (CMS), the federal agency responsible for administering Medicaid. In 2013 CMS granted approval under both to provide HCBS through the Statewide Medicaid Long-Term Care Program. (Hereafter the “LTC Waiver”).

In 2016, AHCA requested a five (5) year renewal of both the 1915(b) and (c) waivers to continue its LTC Waiver. The 1915 (b) renewal application provided a program description, including access standards, and a monitoring plan. This document also included a helpful list of the acronyms and abbreviations used throughout the waiver, which is included in the Appendix.12

The cover letter attached to the renewal request stated that the “purpose of the Long-term Care waiver is to provide choice of long-term home and community-based services for eligible and disabled adults in Florida as an alternative to nursing facility services for their long-term care . . . to provide incentives to serve recipients in the least restrictive setting . . . and [to] improve access to care and quality of care.” 13

The 1915 (c) renewal application, a 233-page document, reiterated the goals. It also included detailed descriptions of the services to be offered, the case management process for developing a care plan, and other procedures designed to ensure that due process is protected.14

On December 19, 2016, CMS approved the renewal requests, including approval of an annual number of unduplicated recipients of 62,500 for each year of the waiver. The waiver applications, which contain multiple terms and conditions, are posted online15 and cited throughout this Guide. Advocates should be familiar with these documents, as they provide extensive detail describing how the State will operate the Program and form the basis for the federal government’s approval of the Waiver and the amendment request. 16

SECTION THREE: WAIVER OVERVIEW

DIFFERENT AGENCIES

Federal law requires each state to administer its Medicaid program through a single state agency.17 The designated state agency in Florida is the Agency for Health Care Administration (AHCA).18

Thus, AHCA is ultimately responsible for ensuring that the LTC Waiver complies with all aspects of federal and state law, including the promulgation of appropriate administrative rules, and development of contracts between AHCA and the
Plans that accurately reflect federal and state statutes and regulations.

AHCA administers the waiver in partnership with, the Department of Elder Affairs (DOEA), which maintains the statewide wait list for the LTC Waiver and assists with enrollment. The Department of Children and Families (DCF) is responsible for determining financial eligibility.

**WAIVER POPULATIONS**

The 2011 Florida statute establishing the statewide integrated managed care program described the populations required to enroll as including beneficiaries needing a nursing home level of care who are: 1) age 18 and older, who are eligible for Medicaid due to blindness or disability or 2) age 65 or older who are eligible for Medicaid based on age. Following CMS's approval, enrollees in four existing HCBS waivers were transitioned into the LTC Waiver: (1) the Aged/ Disabled Waiver, (2) the Assisted Living Waiver, (3) the Channeling for the Frail Elderly Waiver, and (4) the Nursing Home Diversion Waiver.

In 2017, state legislation was passed directing AHCA to consolidate three additional adult HCBS waiver populations (Project AIDS Care, Traumatic Brain and Spinal Cord and Adult Cystic Fibrosis) into the Long-term Care (LTC) Waiver. Pursuant to the statue, individuals from each of those waivers were transitioned into the LTC Waiver in January 2018.

**PACE:**

Advocates and consumers should be aware of Programs of All-Inclusive Care for the Elderly (“PACE”). The PACE program, like the LTC Medicaid managed care program, is an alternative to nursing home care or other care facilities.

PACE programs establish centers for Medicaid or Medicare recipients to receive services covered by Medicaid and Medicare. Unlike Long-Term Care service eligibility, placement in a PACE program is age-based. To receive PACE services, applicants must: 1) be 55 or older, 2) live in the service area of a PACE organization, 3) need a nursing home-level of care, and 4) be able to live safely in the community with help from PACE. Enrollees receive all medical services and prescription drugs covered by Medicare and Medicaid on site of the PACE program. Enrollees also receive transportation, home care, checkups, hospital visits, and nursing home stays when necessary. For individuals that have both Medicaid and Medicare, PACE program enrollment is fully covered financially. Those who receive only Medicare will pay a monthly premium.
ROLE OF THE MANAGED CARE PLAN

As discussed more fully below, all Plans operate under the same Core Contract with AHCA which requires provision of covered services that are “medically necessary” for the individual enrollee. The case manager, the main point of contact between the enrollee, helps develop a “plan of care,” and is responsible for providing ongoing assistance in obtaining necessary services.  

SECTION FOUR: WHAT ELIGIBILITY STANDARDS APPLY TO THE LTC PROGRAM?

INTERNATIONAL

In order to meet clinical eligibility, applicants must require a “nursing facility level of care.” Determining if the applicant requires nursing facility care (also referred to “the level of care determination”) is done by the Comprehensive Assessment and Review for Long-Term Care Services (CARES) program.

FINANCIAL

Financial eligibility is determined by the Department of Children & Families (DCF) pursuant to SSI-Related Medicaid rules. If an LTC Waiver applicant is already Medicaid-eligible because he or she receives Supplemental Security Income, DCF does not need a new application.

The 2019 income limit for HCBS waiver programs is 300% of the SSI income limit, or $2,313 per month for an individual, and $4,626/month for couples who are both eligible. Applicants for the LTC Waiver whose income is over this amount may still qualify by establishing an income trust that receives the person’s “excess” monthly income. The asset limit is $2000 for an individual and $3000 for a couple, not including certain exempted assets, such as the homestead or a vehicle.

Advocate Tip

Financial eligibility is complicated, and this Guide does not attempt to address Medicaid planning for persons whose assets or income exceed the Medicaid limits, or for couples where only one spouse requires LTC Medicaid. These applicants should find either a local legal aid or elder law attorney with expertise.
SECTION FIVE: WHAT IS THE APPLICATION PROCESS?

APPLICATION STEPS

Step 1: Make an appointment to be screened for LTC Waiver wait list priority.

For most applicants, the first step is contacting the local Aging & Disability Resource Center (ADRC) or the Elder Helpline at 1-800-96-ELDER (1-800-963-5337). Florida has eleven (11) ADRCs and the contact information for the applicable office can be found at the Department of Elder Affairs website. Relevant contact information for each region is also included in the Appendix.

Advocate Tip

Indicate directly to the ADRC that you want to apply for the LTC Waiver program. Persons with cognitive or communication related disabilities can request a “reasonable modification” such as an in-person assessment. The modification request should be made during the initial call to the ADRC and followed up with a written request.

Some ADRCs will either do the assessment the 701S at the time of the call or set an appointment. Other ADRCs will send a letter scheduling a telephone appointment for the initial assessment.

For individuals who are already receiving Older American Act (OAA) services through a community provider, there is also a 701 A assessment. This is an in-person assessment performed by the agency providing the individual’s home-based services, such as personal care and home delivered meals. The 701A gathers much of the same data items as the 701S and, as with the 701S, the 701A, will result in a priority school. The provider Agency completing the 701A submits it to the ADRC. However, even though the 701A is done in person and produces a priority score, the ADRC is still required to provide a 701S.

Step 2: The 701S Assessment and Waiver Prioritization

The ADRC telephonic assessment of needs uses the 701S Screening Form. This form gives a “priority score” that measures both the applicant’s need for assistance as well as what caregiver resources are currently available.

The interviewer will ask for information including: if the applicant lives alone or has a caregiver; the caregiver’s health status and ability to...
continue to provide care; the applicant’s present health and how it compares to the prior year; how the applicant’s health may limit preferred activities; assistance needed with Activities of Daily Living (ADLs) and Instrumental Activities of Daily Living (IADLs);36 and health care resources available to the applicant, including access to health care and medications.37

<table>
<thead>
<tr>
<th>Advocate Tip:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Because the 701S form measures both the applicant’s need for assistance and the caregiver resources currently available, it is important to underscore exactly what the applicant cannot accomplish independently, be realistic about what a caregiver can actually do, and underscore any questions/concerns about the caretaker’s sustainability.</td>
</tr>
</tbody>
</table>

It is also important to listen carefully to the question, to answer carefully and to request clarification whenever necessary.38,39

Once the 701S form is completed, the ADRC will calculate the priority score and assign a frailty-based level or category referred to as a “rank.”40 The individual is scored using a matrix.41 An individual is prioritized for LTC waiver services based on their score and rank:

- Rank 1: 0-15.
- Rank 2: 16-29.
- Rank 3: 30-39.
- Rank 4: 40-45.
- Rank 5: Greater than or equal to 46.

The Medicaid rule regarding LTC prioritization specifies three (3) additional categories of individuals listed above the rank of 5 regardless of their priority score.42 Those include:

- Rank 6: Aging Out Referral (individuals in disability programs who reach the maximum age for those programs).
- Rank 7: Imminent Risk of Nursing Home Placement.
- Rank 8: Adult Protective Services High Risk Referral

Individuals are entitled to written notification after the 701S screening is completed. This written notice, which the ADRC is required by rule to send, includes:

- The individual’s priority rank;
- Contact information for the ADRCs;
• Instructions for requesting an administrative fair hearing in accordance with Title 42, Code of Federal Regulations (CFR), Section 431, Subpart E;

• Instructions for requesting a copy of the completed screening tool, which includes the priority score; and

• Instructions for requesting a rescreening. The individual, or their authorized representative, may request a rescreening due to a significant change in condition.\textsuperscript{43}

If the individual requests a hearing, the request is made to AHCA’s Medicaid Hearing Unit.\textsuperscript{44}

\begin{center}
\textbf{Advocate Tip}
\end{center}

If the 701A resulted in a higher score than the 701S and the individual appeals the score, the 701A, could be introduced as supporting evidence at a fair hearing.

\textit{Designated groups who skip Steps 1 and 2:}

The Florida Legislature specified three (3) categories of individuals who are entitled to priority enrollment for home and community based services under the LTC Waiver.\textsuperscript{45} Those individuals, described below, move directly to Step 3 and do not have to participate in the 701S screening assessment or wait-list process:

• An individual who is 18, 19, or 20 years of age who has a chronic debilitating disease or condition of one or more physiological or organ systems which generally make the individual dependent upon 24-hour-per-day medical, nursing, or health supervision or intervention.

• A nursing facility resident who requests to transition into the community and who has resided in a Florida licensed skilled nursing facility for at least 60 consecutive days.

• An individual who is referred by the Department of Children and Families pursuant to the Adult Protective Services Act, ss. 415.101-415.113, as high risk and who is placed in an assisted living facility temporarily funded by the Department of Children and Families.

According to state rule, someone is considered to be at “imminent risk” if the applicant is: unable to perform self-care because of deteriorating mental or physical health condition(s); there is no capable caregiver; and placement in a nursing facility is likely within a month, or very likely within three months.\textsuperscript{46}
Advocate Tip:

If an applicant is at imminent risk of being placed in a nursing home, it is important to describe to the 701S assessor in detail how the person meets each prong of the definition.

Step 3: Release from the waitlist and determination of clinical/financial eligibility

Pursuant to the Florida Medicaid statute, AHCA notifies the Department of Elder Affairs of LTC waiver slot availability; CARES conducts a prerelease assessment; and DOEA then “release[s] individuals from the wait list based on the priority scoring process and prerelease assessment results.”

DOEA has an operational manual which details the process by which individuals are released from the wait list and proceed through the eligibility and enrollment process. (hereafter “EMS Manual.”)

Pursuant to the EMS Manual, DOEA will notify local ADRCs when waiver slots have been released, and the ADRC then contacts those individuals included in the release list. After confirming that the individual is still in need of long-term care services, the ADRC sends a written notification of wait list release. This notice includes information on the enrollment process and the instructions and timeframes for completing eligibility.

Clinical and financial eligibility

Following release from the wait list, two determinations are necessary: clinical and financial eligibility. The DOEA CARES program determines clinical eligibility and DCF determines financial eligibility.

Advocate Tip:

It is important for advocates and provider agencies to know that if an individual applying for LTC is currently getting home health service through the Community Care for the Elderly (CCE) program and the individual is released from the waitlist but fails to complete an application or does not meet financial eligibility, and is thus denied, the individual may not be able to get back on CCE right away.

Clinical eligibility

Applicants must have their physician, or other licensed healthcare provider familiar with their needs, complete an AHCA Medical Certification for Medicaid LTC (also referred to as
Within 30 days from the date of the wait list notification, the ADRC receives a complete and correct Form 5000-3008, they will contact the CARES office and request a Level of Care (LOC) determination.

The CARES team will then meet with the applicant and complete a 701B comprehensive assessment. This assessment is administered in a face-to-face meeting by a licensed healthcare provider to ensure the applicant meets the “medical eligibility” for the LTC Waiver. For those applicants who meet the nursing home level of care requirement, the CARES team assigns the applicant into one of three (3) levels:

**Level of care 1:** applicants residing in or who must be placed in a nursing facility.

**Level of care 2:** applicants at imminent risk of nursing home placement, as evidenced by the need for the constant availability of routine medical and nursing treatment and care, who require extensive health-related care and services because of mental or physical incapacitation.

**Level of care 3:** applicants at imminent risk of nursing home placement, as evidenced by the need for the constant availability of routine medical and nursing treatment and care, who have a limited need for health-related care and services and are mildly medically or physically incapacitated.

**Financial eligibility**

Once the Level of Care is determined, the application is forwarded to the Department of Children & Families for completion of eligibility for the LTC waiver. Financial and clinical eligibility determinations can, and should, proceed simultaneously.

The applicant has 35 days from the date of wait list notification to submit the Medicaid application. A Medicaid application submitted through DCF’s online ACCESS portal triggers the financial determination.

The ACCESS application asks for the applicant’s name, SSN, date of birth, address, phone number as well as income and assets. DCF may also require verification of the applicant’s income and assets, e.g. bank statements, pay stubs, and paperwork on asset ownership or recent sales.

**Advocate Tip:**

Do not wait until all financial eligibility verification is obtained in order to submit the ACCESS application.
SECTION SIX: WHAT IF APPLICATION IS DENIED OR DELAYED?

Denials or delays during the LTC Waiver application process can be appealed. 64

INITIAL ASSESSMENT / PRIORITY RANK

First, as noted above, applicants are entitled to written notice regarding the results of the 701S screening and may appeal their priority rank and/or score. Those appeals are filed directly with the state through the Agency for Healthcare Administration's Medicaid Hearing Unit.66

AFTER RELEASE FROM WAITING LIST

The EMS Manual states that the post release assessment—both the clinical assessment done by CARES and the financial assessment done by DCF—fall within DCF's responsibility. 67 In addition, the final notice of case action on LTC Waiver eligibility is generated by DCF. Thus, an appeal should be filed with the DCF hearing office. 68

SECTION SEVEN: PLAN ENROLLMENT

PICKING A PLAN

An applicant who is found eligible and enrolled in the LTC Waiver must select one of the private managed care plans (“Plans”) operating in the region where the applicant resides.

As of the 2018 State Medicaid Managed Care Re-procurement process, there are no longer be stand-alone long-term care (LTC) plans. Recipients who are eligible for LTC services will choose between either an LTC+ or Comprehensive Plan in their region. Recipients who are eligible for MMA and LTC programs must choose one health plan for all of their services. 69

The LTC+ Plans provide managed medical assistance (MMA) services and long-term care services to recipients enrolled in the LTC programs. These plans cannot provide services to recipients who are only eligible for regular MMA services. The Comprehensive Plans provide both MMA and LTC services to eligible recipients. 70

AHCA publishes a “Snapshot” informational brochure for both LTC managed care and for MMA managed care that sets out the types of plans, the Regions and the available Plans in each region. 71

Each of Florida’s eleven regions must have at least two managed care plans to
choose from for long-term care services. A list of Plans in each region is also available online or at the local ARDC.\textsuperscript{72}

The enrollee should look at the Choice Counseling website at \url{www.flmedicaidmanagedcare.com}; or call 1-877-711-3662 to talk to a choice counselor. An enrollee can also request that a choice counselor meet with him or her at home.

Enrollees who do not voluntarily select a Plan will be auto-assigned by AHCA. The Agency can only assign Plans that meet or exceed performance standards and must take into account several factors including: network capacity; past relationship between the recipient and the provider; and geographic accessibility.\textsuperscript{73}

After selecting a Plan (or being assigned), the Plan will conduct an initial visit. The requirements of the initial visit are enumerated in the Contract between AHCA and the LTC Plans and include explaining the enrollee’s rights and responsibilities and finalizing the plan of care.\textsuperscript{74}

**SECTION EIGHT: CHANGING PLANS/DISENROLLING**

Recipients may request disenrollment at any time via written or oral request to AHCA. Disenrollment for any reason is permitted within the first 120 days after enrollment.\textsuperscript{75}

After 120 days, recipients may change plans only for “good cause” or during the annual open enrollment period.

To change their Plan, beneficiaries can speak with a choice counselor at 1-877-711-3662.

**“Good Cause”**

Pursuant to the state’s published Rule, the following reasons constitute good cause for disenrollment and do not require that the enrollee first seek resolution through the plan’s internal grievance process:

- A substantiated marketing violation has occurred.
- The enrollee has an active relationship (has received services from the provider within the six months preceding the disenrollment request) with a provider who is not on the Plan’s panel but is on the panel of another Plan.
- The enrollee needs related services to be performed concurrently, but not all related services are available within the Plan network, or the enrollee’s PCP has determined that receiving the services separately would subject the enrollee to unnecessary risk.
• The Plan does not, because of moral or religious objections, cover the service the enrollee seeks.
• Immediate risk of permanent damage to the enrollee’s health is alleged.

The following also constitute reasons for good cause disenrollment, however the enrollee must first seek resolution with the plan:

• Poor quality of care.
• Lack of access to services covered under the Contract.
• Lack of access to providers experienced in dealing with the enrollee’s health care needs.
• Enrollee needs related services concurrently but not all related services are in the Plan’s network and either the PCP or another provider determined that receiving the services separately would subject the enrollee to unnecessary risk.

EXEMPTIONS FROM THE LTC WAIVER

The state allows otherwise mandated beneficiaries to request exemption on a case-by-case basis. As with a request for disenrollment (see discussion above), the enrollee should contact the enrollment broker who, in this case, would refer the request to AHCA. If the issue still cannot be resolved after working with the individual and the available LTC plans in the area, the agency has the ability to instruct the enrollment broker to exempt the individual from enrollment into LTC. 77

SECTION NINE: CARE PLANNING

INITIAL CONTACT

Once enrolled, the Plan must conduct a face-to-face visit with the enrollee within five business days.78

Going over the Handbook

During the initial face-to-face visit, the plan representative provides the enrollee with the Plan’s ID card, a provider directory, and an enrollee handbook.79

The current contract no longer contains prior requirements from the Member Handbook other than cross-reference to the federal regulations at 42 C.F.R. 438.10(g). Notably, this regulation pertains to all managed care in general; it is not specific to HBCS.80

Explaining grievance and appeal

At the initial visit the plan shall review the enrollee’s rights and responsibilities, including procedures for filing a grievance, appeal, and or Medicaid Fair Hearing.81
Conducting an assessment & developing care plan

Finally, the plan is required to conduct a comprehensive assessment and develop the person-centered care plan of care (discussed below) at the initial meeting.82

**Person-Centered Planning process**

After years of advocacy, CMS finalized rules in 2014 detailing requirements for “person-centered” planning for all HCBS programs.83

“Person-centered” planning means that the process should actually directed by the individual to the “maximum extent possible.”84

The process is intended to identify the individual's strengths, capacities, preferences, needs, and desired measurable outcomes. Enrollees are encouraged to make decisions about service options and identify personal goals. They must also be allowed to invite anyone of his/ her choosing to participate in the process and provide aid as needed or desired.85

**Person-Centered Plan of Care**

Pursuant to this planning process, Plans are required to develop a person-centered plan of care.86 This is a written document that reflects the clinical and support needs identified through the assessment process, the person-centered goals and objectives, the services and supports (paid and unpaid) that will assist the enrollee in achieving identified goals, and the service providers.87

Additionally, the plan must reflect an enrollee's risk factors and identify measures in place to minimize them, such as individualized backup plans and strategies when needed.88

Significantly, the enrollee or enrollee's authorized representative must indicate whether they agree or disagree with each service authorization and review and sign the plan of care at initial development, annual review, and for any changes in services.89 In addition, all individuals and providers responsible for its implementation have to sign the care plan.90

In sum, the Plan of Care (or Care Plan) is the critical written document that specifies the services and supports that are to be furnished in order to meet the enrollee's abilities, needs and preferences, e.g. to live in her/his home.91
Advocate Tip:

Advocates should ensure that enrollees receive a legible copy of the Care Plan to review before signing.

If an enrollee (or his or her authorized representative) disagrees with any part of the care plan and efforts to resolve with the case manager are not successful, an appeal should be filed. ⁹²

Supplemental Assessment

As part of the care planning process, the Plans are required to complete a written LTC Supplemental Assessment, and all completed forms should be maintained in the client's case file. ⁹³ (A sample form is included in the Appendix.)

In addition to including the amount of time the enrollee can be safely left alone, the assessment must include the following with regard to natural supports:

- The role of each natural support in the enrollee's day-to-day life;
- Each natural support's day-to-day responsibilities, including an evaluation of the support's work, school, and other schedules and responsibilities in addition to caring for the enrollee;
- Each natural support's stress and well-being, including and medical limitation or disability the natural support may have that would limit their ability to participate in the care of an enrollee (e.g. lifting restrictions, developmental disorder, bed rest for pregnancy, etc.);
- The willingness of the natural support to participate in the enrollee's care.

Role of Case Management

Effective case management is a critical part of the LTC Waiver, and Section E of the Contract ("Care Coordination/Case Management) specifies a number of case management duties and time frames for contact with enrollees. ⁹⁴
For example, there must be a face-to-face visit within five (5) days. In addition, the case manager is required to meet with the enrollee, including at least every 90-days (and more frequently if there has been any significant change).\(^9\)

The prior contract requirement that the Managed Care Plan follow up within seven (7) days after the initial meeting, to ensure that services specified in the plan of care actually started, is no longer in Section E of the Contract.\(^9\)

The case manager is also responsible for ongoing assistance, including assistance in identifying issues and barriers to the achievement of goals and documenting actions taken to resolve issues as quickly as possible.\(^9\)

**REASSESSMENT**

Managed Care Plans must conduct an annual reassessment of the enrollee’s plan of care to determine whether an enrollee’s service needs are being met. Reassessment may be conducted more frequently if the need arises. The Plan shall complete the reassessment using Agency-required forms and the plan-developed LTC Supplemental Assessment form.\(^9\)

**PARTICIPANT DIRECTED SERVICES**

During the care planning process, enrollees who live in their own home or the home of a family member, can choose to “self-direct” certain waiver services, including adult companion, homemaker, attendant care (private duty nursing), intermittent and skilled nursing, and personal care.

Participants who opt to self-direct these services are then responsible for training workers, setting work schedules, and submitting timesheets to the plan.\(^9\) They do not set the pay rate, however.

Florida’s 1915(c) waiver application reflected the State’s goals for the number of participants selecting “self-direction” as starting at 300 in Year 1 and increasing to 500 for each of the last 3 years of the Waiver.\(^\)\(^1\)

**SECTION TEN: WHAT SERVICES ARE COVERED?**

The Florida Legislature has specified the minimum services that LTC Plans must provide. The state contract requires that MCO Plans also include four (4) additional services: adult companion care; attendant nursing care; assistive care and homemaker.\(^1\)

A complete list of the services is included in the Appendix, and each
Plans must offer all listed services. None of these services has a limit or cap, beyond the requirement that the service be “medically necessary.”

**SECTION ELEVEN: WHEN MUST COVERED SERVICES BE PROVIDED?**

*Medical Necessity*

In determining if a covered service must be provided to an individual beneficiary (including the amount, e.g., physical therapy twice a week), the service must be “medically necessary.” There is, however, no definition of “medical necessity” in federal law for adults, including for HCBS services. Rather, the applicable federal regulation simply provides that the service must be sufficient in “amount, duration, and scope to achieve its purpose,” and states have significant flexibility in setting amount, duration and scope standards.

As a result of litigation, Florida’s LTC Waiver now has two standards for determining “medical necessity”—one for HCBS services, and one for “mixed services.” The “mixed service” standard, also applies to all other services covered in the Medicaid program, e.g. hospitalization. Both standards are set forth in the boxes below.

Under the revised rule for “Home and Community-Based, Supportive Services” e.g. adult companion care, adult day care, and homemaker services, “medical necessity” are defined more liberally to acknowledge use of services to meet functional needs and access to the community.
Medical Necessity Definition for HCBS:

Be individualized, specific, and consistent with symptoms or confirmed diagnosis of the illness or injury under treatment, and not in excess of the patient’s needs;

Be reflective of the level of service that can be safely furnished, and for which no equally effective and more conservative or less costly treatment is available statewide and;

Be furnished in a manner not primarily intended for the convenience of the recipient, the recipient’s caretaker, or the provider.

And, one of the following:

Enable the enrollee to maintain or regain functional capacity; or

Enable the enrollee to have access to the benefits of community living, to achieve person-centered goals, and to live and work in the setting of his or her choice. ¹⁰⁷

For “mixed services” (which include all types of nursing care, personal care, and all therapies), the long-standing definition of medical necessity remains applicable.

Florida’s General Definition of Medical Necessity, Including for “Mixed Services”¹⁰⁸

“Medically necessary” or “medical necessity” means that the medical or allied care, goods, or services furnished or ordered must meet the following conditions:

1) Be necessary to protect life, to prevent significant illness or significant disability, or to alleviate severe pain;

2) Be individualized, specific, and consistent with symptoms or confirmed diagnosis of the illness or injury under treatment, and not in excess of the patient’s needs;

3) Be consistent with generally accepted professional medical standards as determined by the Medicaid program, and not experimental or investigational;

4) Be reflective of the level of service that can be safely furnished, and for which no equally effective and more conservative or less costly treatment is available statewide and;

5) Be furnished in a manner not primarily intended for the convenience of the recipient, the recipient’s caretaker, or the provider.
Other Coverage Criteria

The LTC Waiver Rule begins with a statement of the overarching goal, i.e. that Plans “provide an array of home and community-based services that enable enrollees to live in the community and to avoid institutionalization.”

This goal is reflected in specific criteria for coverage, which requires that plans cover services “intended to enable the enrollee to reside in the most appropriate and least restrictive setting,” and in the requirement for a “Supplemental Assessment.”

As previously discussed, the LTC Supplement Assessment, a key factor in deciding the array of necessary services, must quantify the amount of time an enrollee may safely be left alone and the amount of time a voluntary caregiver is willing/able to provide care. If the enrollee can never be safely left alone and the caregiver works 40 hours a week, an authorization of only 15 hours a week of direct staffing should be challenged.

Accordingly, in addition to the requirements of the LTC Supplemental Assessment, the Contract also prevents the Plans from ignoring the limitations of an enrollee’s natural support system. Specifically, the Contract’s provisions on "Service Authorizations" state that the Plan "shall not deny authorization for a service solely because a caregiver is at work or is unable to participate in the enrollee's care because of their own medical, physical or cognitive impairments." 

The Contract also mandates that Plans “shall not deny medically necessary services required for the enrollee to safely remain in the community because of cost.”

SECTION TWELVE: WHAT ARE THE STANDARDS FOR ACCESS AND CONTINUED COVERAGE?

TIMELY ACCESS STANDARDS

In order to ensure that plans provide timely access to services, AHCA is required to establish network adequacy standards for the plans, e.g. the number of providers in each county. These requirements, along with the time standards for travel are set forth in the contract between each plan and AHCA.

For most LTC benefits, the AHCA/LTC Plan Contract requires that Plans have at least two providers in each county. For those services in which the beneficiary is traveling to the provider, e.g. adult day care or therapy (physical, occupations, respiratory), the travel time maximum is 30 minutes in urban counties and 60 minutes in rural counties. Thus,
if an individual in Miami Dade County
needs physical therapy three times
per week and the travel time to a
network provider is an hour, the plan
has violated this standard. If the issue
cannot be resolved, the recipient has a
basis for a good cause disenrollment.
See Section, Eight, supra.

The Core Contract (which governs
both MMA, LTC+, and Comprehensive
plans) also requires that plans have
sufficient provider contracts to ensure
that medically necessary services can
be provided with “reasonable
promptness” as set forth in the
Medicaid Statute. 117

The LTC Contract’s network adequacy
standards are in Table 1, which
requires that there be two providers
in each county for most services, and
for services that are provided outside
of the home, there is a travel time
standard of 30 minutes for urban
counties and 60 minutes for rural
counties.118

**CARE COORDINATION AND CONTINUITY**

Florida’s LTC contract requires that
the MCO have a process for
“immediately reporting any unplanned
gaps in service delivery.” As part
of this process, the Plan must prepare a
“Service Gap Contingency and Back-Up
Plan” for enrollees who receive
services in their home. A “gap” is the
difference between the number of
hours required by the care plan, and
the number of hours actually
provided. 119

The contingency plan must inform the
enrollee (or authorized
representative) of resources available,
including on-call back-up service
providers and the “enrollee’s informal
support system” in the event of an
unforeseeable gap, such as a service
provider illness or transportation
failure.

The “informal support system” is not
the “primary source” for addressing a
gap, unless that is the enrollee’s
choice. The MCO must ensure that gap
services are provided within a three-
hour time frame. The MCO must
discuss the contingency plan with the
enrollee, provide a copy to her/him,
and ensure that the plan is updated
quarterly.120

LTC plans are also required to include
“distinct procedures” in their
Utilization Management Program that
include “protocols for ensuring that
there are not gaps in service
authorization for enrollees requiring
ongoing services.” 121

Additionally, in order to help ensure
that enrollees do not experience gaps
in critical LTC services, plans are
required to authorize “maintenance
therapies” i.e. treatments that are
supportive rather than corrective and that prevent further deterioration\textsuperscript{22} for no less than six (6) months. For services of shorter duration, authorization must be supported by PCP prescription. If no prescription is required, the decision must be “supported by objective evidence-based criteria.” \textsuperscript{123}

\begin{table}[h!]
\centering
\begin{tabular}{|p{\textwidth}|}
\hline
\textbf{Advocate Tip:} \\
Because physicians may be unaware of this “maintenance therapy” policy and the ability to write prescriptions for up to 6 months for long term care conditions, it can be helpful to provide the physician’s office with a copy of this contract provision. \\
\hline
\end{tabular}
\end{table}

\section{SECTION THIRTEEN: WHAT IF SERVICES ARE DENIED, DELAYED, REDUCED OR TERMINATED? \textsuperscript{124}}

\begin{table}[h!]
\centering
\begin{tabular}{|p{\textwidth}|}
\hline
\textbf{FILING AN AHCA COMPLAINT} \\
Enrollees who are having trouble accessing services or who are encountering other problems with their LTC Plan can file an official complaint with AHCA. These complaints are reviewed and responded to by trained staff members. In addition, AHCA identifies issues that may indicate systemic problems. While some issues are not amenable to resolution through the complaint portal and may ultimately require a fair hearing, this informal complaint process is not time intensive and may result in a quick resolution.
\hline
\end{tabular}
\end{table}

\begin{itemize}
\item A \textit{complaint may be filed either online}\textsuperscript{125} or by speaking with a Medicaid representative by calling toll free 1-877-254-1055.
\item AHCA’s online portal gives those filing a complaint the option to remain anonymous. However, if there is an issue that needs to be resolved, the person filing the complaint should be prepared to provide their name and an email address or phone number and provide documentation facilitating communication with AHCA staff, e.g. appointment of representation form, HIPAA release.
\end{itemize}

\begin{table}[h!]
\centering
\begin{tabular}{|p{\textwidth}|}
\hline
\textbf{GRIEVANCES, APPEALS, AND FAIR HEARINGS} \\
\textit{What is the difference between a grievance and an appeal?}
\\
Each Plan is required to have a grievance and appeal process that complies with the federal Medicaid managed care regulations. \textsuperscript{126} The major difference between a grievance and an appeal is that an appeal should be filed when there is an “adverse benefit determination (ABD),” while a grievance would be filed if the enrollee is unhappy with the plan. For example, an enrollee could file a grievance if he or she was treated rudely.\textsuperscript{127}
\hline
\end{tabular}
\end{table}
Filing and resolving a grievance or appeal with the Plan

Grievances and appeals can be filed orally or in writing; however, an oral request for an appeal must be followed with a signed appeal within 10 days (unless the request is for an expedited appeal.) The best practice is to file a written request with the Plan. The enrollee handbook must provide the necessary instructions and information for both grievances and appeals. In addition, any notice of adverse benefit determination should include instructions on how, where, and when to file an appeal. (see discussion below).

The Plan must provide written notice acknowledging the receipt of the grievance or appeal within five business days.

Expedited appeal

Enrollees have the right to an expedited appeal if the standard resolution “could seriously jeopardize the enrollee’s life, physical or mental health, or ability to attain, maintain, or regain maximum function.”

What are the time standards for filing and resolving grievances and appeals and what notice is required?

Filing and resolution timeframes both for LTC and MMA plans are as follows:

- Grievance – can be filed at any time and must be decided within 90 days.
- Standard appeals – filed orally or in writing within 60 days from the date of the adverse benefit determination notice and must be resolved within 30 days.
- Expedited appeals must be resolved within 48 hours after the managed care plan receives the request whether orally or in writing. The plan shall also provide oral notice to the enrollee by close of business on the day of resolution, and written notice to the enrollee within two calendar days of the disposition.

Note that these time frames can be extended if the enrollee requests an extension. However, if the Plan requests an extension, the Plan must demonstrate to the state the need for additional time and why the extension would be in the enrollee’s best interests.

How to ensure the continuation of benefits?

When a beneficiary’s previously authorized services are terminated, suspended or reduced, she/he has the right to receive continued coverage of the medical services pending the outcome of an appeal and fair hearing. The importance of the right to “aid pending” for low income individuals was recognized by the United States.
Supreme Court in the seminal case of *Goldberg v. Kelly*, 397 U.S. 254, 261 (1970). Accordingly, services must be continued if all of the following occur:

- Appeal involves termination, suspension, or reduction of previously authorized service;
- Services were ordered by authorized provider;
- Period covered by original authorization not expired;
- Enrollee timely files for continued benefits on or before ten calendar days of the plan’s notice of adverse benefit determination. 136

If the beneficiary is provided with continued coverage of the service and ultimately loses the appeal, the cost of the service can be recouped. 137

### Advocate Tip:

To ensure that services continue, the appeal must be received by the Plan within 10 calendar days of when the notice of adverse benefit determination was sent.

If the appeal is upheld, the fair hearing request must then be filed within 10 calendar days of when the notice of appeal resolution was sent. 138

The request for continuation of services should always be in writing.

### Notice of Appeal Resolution

The Plan must send a written notice of the appeal resolution that includes:

- Results of resolution process and completion date; and if the result was not completely in favor of the enrollee, the notice must include:
  - Information about the right to request a fair hearing and how to do so, and
  - Information on the right to continued benefits pending a final determination. 139

### WHAT IS AN ADVERSE BENEFIT DETERMINATION (ABD)?

Adverse benefit determinations include:

- Denial, reduction, suspension, termination or delay of a previously authorized service;
- Denial or limited authorization of a requested service determination (e.g. 2 hours of speech therapy/week for 6 months were prescribed and plan approved 1 hour/week for one month);
- Failure to provide service in a timely manner as defined by the State; 140
- Failure of a Plan to act within required timeframes for resolution of grievance or appeal; and
- Denial in whole or in part of the payment for a service. 141
In addition, ABDs include the denial of an enrollee's request for an out-of-network service if the enrollee lives in a rural area and there is only one Plan.

Is there a requirement that the Plan appeal process be exhausted before filing a fair hearing? Enrollees must first exhaust the Plan's appeal process. Thus, a fair hearing can only be requested after notice that the adverse benefit determination has been upheld (at least in part) in the Plan appeal process.142

Are there any exceptions to exhaustion requirement?

Yes. If the Plan does not follow the notice and timing requirements in 42 C.F.R. § 438.404(c) (described below), the enrollee is “deemed to have exhausted” the plan appeal process and can request a state fair hearing. 143

What notice requirements apply?

The Supreme Court has long recognized the importance of written notices as part of procedural due process. 144 The federal Medicaid Program regulations which apply to all fair hearings (including for eligibility and non-managed care services) include detailed notice requirements.145

Additionally, the 2016 federal Medicaid managed care regulations specifically linked the Plan notice requirements to an “adverse benefit determination” and set forth requirements pertaining to both the content and timing of the notice.146

The notice must include the following information:

- The ABD that has been made;
- Reason(s) for the ABD (including the right to copies of all documents relevant to the decision free of charge);
- Right to request an appeal, including:
  - Information on exhausting one level of appeal
  - Right to request a state fair hearing;
- Process for appeal;
- Circumstances for an expedited appeal and how to request;
- Right to have benefits continue pending resolution of the appeal, including:
  - How to request continued benefits
  - Circumstances under which enrollee may be required to repay the costs of those services.147

Additionally, the notice must be accessible to individuals with disabilities or limited English proficiency.148

Accordingly, AHCA developed template notices that all managed care plans are required to use, including a template notice of an adverse benefit
determination made by LTC Plans.\textsuperscript{149} See Appendix.

What time standards apply to various notices?

- If the action concerns a termination, suspension, or reduction of a benefit - written notice must be sent 10 days before the date of action.
- If the action concerns a denial of payment - notice must be sent at time of the action-affecting claim.
- If the action concerns a standard service authorization decision that denies or limits services - notice must be sent within 14 days.
- If an expedited service authorization has been requested - notice must be sent within 72 hours.
- If service authorization is not reached within the time frame specified in 42 C.F.R. § 438.210(d), this constitutes a denial on the date that the timeframe expired.\textsuperscript{150}

The following are examples of notices that fail to meet the notice content and time requirements. Thus, exhaustion should be deemed to have occurred and the enrollee can request a fair hearing if, e.g.:

- Enrollee speaks Spanish and notice was only in English; (violates 42 C.F.R. § 438.10(d); see also 42 C.F.R. § 438.404 (a));
- Notice did not clearly explain the right to continued benefits; (violates 42 C.F.R. § 438.404(b)(6));
- Notice was not sent within 10 days of a termination, suspension or reduction of previously authorized benefits. (violates 42 C.F.R. § 438.404(c)(1)).

FAIR HEARINGS

Statutory right

Under the federal Medicaid Act, Medicaid beneficiaries have the right to a fair hearing if a claim for medical assistance is denied or not acted on with reasonable promptness.\textsuperscript{151}

Exhaustion requirement and exceptions

As discussed above, enrollees must first exhaust the Plan's appeal process. Thus, a fair hearing can only be requested after the Plan issues its notice that the adverse benefit determination has been upheld.\textsuperscript{152}

And, as noted above, if the plan does not follow the notice and timing requirements in 42 C.F.R. § 438.404(c), the enrollee is “deemed to have exhausted” the plan appeal process and can request a state fair hearing.\textsuperscript{153}

Filing and Parties

Medicaid appeals related to services for persons enrolled in a managed
care plan are directed to AHCA.\textsuperscript{154} The Plan is the respondent, and "upon request by AHCA, the Agency may be granted party status by the Hearing Officer."\textsuperscript{155}

\textit{Hearing rights}

Enrollees have the right to:

\begin{itemize}
  \item Bring witnesses
  \item Make legal and factual arguments in person and in writing.
  \item Present evidence, including new evidence not available at time of decision,
  \item Review medical records and case file free of charge and in advance.
\end{itemize}

The hearing officer can also obtain, at agency expense, a medical assessment from someone not involved in the original decision.\textsuperscript{157}

\textit{Requesting the case file}

The federal regulations and state rules both acknowledge the right of the enrollee to receive, free of charge and a reasonable time before the hearing, a complete copy of the enrollee's case file.\textsuperscript{158} 42 CFR 431.242; 59G-1.100(12), F.A.C.

This should include the member notes or case notes, which are records of actions by Plan staff (including the Medical Director) related to the enrollee’s care or interactions with the enrollee and providers. The enrollee is also entitled to copies of documents or records relevant to the Plan’s adverse benefit determination.

\begin{table}
\begin{tabular}{|p{\textwidth}|}
\hline
\textbf{Advocate Tip:} \\
\hline
Request a copy of the case file and other relevant documents, in writing when filing the appeal and the fair hearing request. If the Plan fails to respond, file an AHCA complaint or contact the Plan’s counsel directly. In the case of a fair hearing, if attempts to resolve with Plan counsel are unsuccessful, a motion to compel can be filed. \\
\hline
\end{tabular}
\end{table}

\textit{Discovery and subpoenas}

Florida is one of the only states providing discovery in the fair hearing process, including for hearings related to managed care. AHCA’s managed care fair hearing rule provides that the Florida Rules of Civil Procedure apply and the Hearing Office may issue orders to "effect the purposes of discovery and to prevent delay."\textsuperscript{159}

\textit{Relief}

The hearing officer's Final Order should be rendered within 90 days of the requires for fair hearing, unless the time period is waived by the enrollee or extended by the hearing officer. 59G-1.100(18), F.A.C.
Enrollees can also request corrective action retroactive to the date of the error, including payments made by the enrollee to cover services that were improperly terminated.  

**Advocate Tip:**

In addition to requesting the enrollee’s case file, helpful discovery can include:

1) Requests for production of documents
2) Interrogatories
3) Requests for Admissions
4) Depositions

**SECTION FOURTEEN: OTHER ADVOCATE/CONSUMER RESOURCES**

As part of the LTC Waiver, Florida has established the Independent Consumer Safety Program (ICSP). The ICSP coordinates efforts between the Florida Department of Elder Affairs, the Statewide Long-term Care Ombudsman Program (LTCOP), local ADRCs and AHCA. The ICSP uses staff from LTCOP, DOEA and ADRCs to help enrollees understand and resolve service, coverage, and access complaints.

Pursuant to the Contract, Plans are required to have an enrollee advisory committee that meets at least twice a year to consider issues and “obtain periodic feedback” on any identified problems and suggestions for improvement. Plans submit minutes of these advisory committee meeting, along with the plan’s response to identified concerns to AHCA.

**Advocate Tip:**

Obtain copies of the advisory committee materials for the LTC Plans in your region and, depending on the information received, discuss appropriate strategic responses with your local ADRC and ICSP staff.

**SECTION FIFTEEN: SUMMARY OF RELEVANT AUTHORITY**

The multiple authorities related to Florida’s LTC program (and cited in the endnotes) are summarized below. These authorities include federal and state statutes and regulations (rules); contractual provisions between AHCA and the plans, the Waivers Requests and Approval between the state and federal government; and relevant case law, including Settlement Agreements or Orders.

**Federal Statutes:**

42 U.S.C. § 1396n.
Federal Regulations:

The 2016 federal Medicaid Managed Care regulations at 42 C.F.R. part 438, which represent a significant regulatory overhaul, increased transparency and modernized Medicaid’s managed care programs. Also, for the first time, CMS included specific provision pertaining to LTSS and defined LTSS for the purposes of managed care.164

Other relevant federal regulations include 42 C.F.R. § 435.217 (describing individuals who are eligible for home and community-based services), 42 C.F.R. § 440.180 (providing a description of and requirements for HCBS); and 42 C.F.R. § 441.301, et seq., (setting forth the requirements for providing HCBS through a waiver, including the requirements for a “person-centered plan and process.”)

Florida Statutes:

In 2011, the Florida Legislature created Part IV of Chapter 409, Florida Statutes directing the Agency to create the Statewide Medicaid Managed Care (SMMC) program. The SMMC program has two key components: the Managed Medical Assistance program (MMA) and the Long-Term Care Program (which includes the LTC Waiver). Relevant sections of the Florida Statutes include Fla. Stat. 409.978-409.985.

Florida Administrative Rules:


Also relevant are the state rules for plan disenrollment F.A.C. 59G-8.600; the AHCA managed care fair hearings rules described at 59G-1.100, and the DCF income eligibility-related rules at F.A.C. 65A-1.710 et seq.

AHCA’s Core Contract:

The Agency for Health Care Administration’s (AHCA) has a Core Contract, which governs all SMMC plans – both MMA and LTC. Relevant subparts include:

- Attachment II: Scope of Service–Core Provisions, February 1, 2019
- Attachment II, Exhibit II-B–Long-term Care (LTC) Program, February 1, 2019

Waiver Applications and Approvals

AHCA’s LTC Waiver applications (both original and renewal) set forth in
detail all aspects of how HCBS will be provided, and were approved by CMS.

Department of Elder Affairs:

The DOEA “Statewide Medicaid Managed Care Long-term Care Program Enrollment Management System Procedures Manual,” provides a detailed description of the process by which individuals are released from the wait list and the eligibility and enrollment process.
### Appendix

1) **Abbreviations**

2) **Services**

3) **Care Plan**

4) **Supplemental Assessment Form**

5) **Template Notice**
# Appendix One: Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Full Form</th>
</tr>
</thead>
<tbody>
<tr>
<td>CMS Network</td>
<td>Children’s Medical Services Network</td>
</tr>
<tr>
<td>DCF</td>
<td>Department of Children and Families</td>
</tr>
<tr>
<td>DOH</td>
<td>Department of Health</td>
</tr>
<tr>
<td>DM</td>
<td>Disease Management</td>
</tr>
<tr>
<td>CMS</td>
<td>Centers for Medicare and Medicaid Services</td>
</tr>
<tr>
<td>FS</td>
<td>Florida Statutes</td>
</tr>
<tr>
<td>FFS</td>
<td>Fee-for-Service</td>
</tr>
<tr>
<td>HMO</td>
<td>Health Maintenance Organization</td>
</tr>
<tr>
<td>LTC</td>
<td>Long-term Care</td>
</tr>
<tr>
<td>MMA</td>
<td>Managed Medical Assistance</td>
</tr>
<tr>
<td>MCO</td>
<td>Managed Care Organization</td>
</tr>
<tr>
<td>PAHP</td>
<td>Prepaid Ambulatory Health Plan</td>
</tr>
<tr>
<td>PCCM</td>
<td>Primary Care Case Management</td>
</tr>
<tr>
<td>PCP</td>
<td>Primary Care Provider</td>
</tr>
<tr>
<td>PDHP</td>
<td>Prepaid Dental Health Provider</td>
</tr>
<tr>
<td>PIHP</td>
<td>Prepaid Inpatient Health Plan</td>
</tr>
<tr>
<td>PMHP</td>
<td>Prepaid Mental Health Program</td>
</tr>
<tr>
<td>PSN</td>
<td>Provider Services Network</td>
</tr>
<tr>
<td>STC</td>
<td>Special Terms and Condition</td>
</tr>
<tr>
<td>SSI</td>
<td>Supplemental Security Income</td>
</tr>
<tr>
<td>TANF</td>
<td>Temporary Assistance for Needy Families</td>
</tr>
<tr>
<td>The Act</td>
<td>Social Security Act</td>
</tr>
<tr>
<td>The Agency</td>
<td>Agency for Health Care Administration</td>
</tr>
</tbody>
</table>
# Appendix Two: Services

<table>
<thead>
<tr>
<th>LTC Program Minimum Covered Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adult companion care</td>
</tr>
<tr>
<td>Adult day health care</td>
</tr>
<tr>
<td>Assisted living</td>
</tr>
<tr>
<td>Assistive care services</td>
</tr>
<tr>
<td>Attendant nursing care</td>
</tr>
<tr>
<td>Behavioral management</td>
</tr>
<tr>
<td>Care coordination/ Case management</td>
</tr>
<tr>
<td>Caregiver training</td>
</tr>
<tr>
<td>Home accessibility adaptation</td>
</tr>
<tr>
<td>Home-delivered meals</td>
</tr>
<tr>
<td>Homemaker</td>
</tr>
<tr>
<td>Hospice</td>
</tr>
</tbody>
</table>
Appendix Three: Care Plan

What is Included in the Person-Centered Plan of Care?

✓ Every enrollee’s person-centered plan of care must include:
  ✓ Enrollee’s name and Florida Medicaid identification number
  ✓ Plan of care effective date
  ✓ Plan of care review date (at least every 90 days)
  ✓ The enrollee’s personal goals
  ✓ The enrollee’s strengths and preferences
  ✓ Routine medical services needed, including how much, how often, and who is providing the service(s)
  ✓ Availability of natural supports to assist in the enrollee’s care
  ✓ Long-term care waiver services, including how much, how often, and who is providing the service(s)
  ✓ Each service authorization start and end date (if applicable)
  ✓ A complete list of services and supports to be provided, no matter who is paying
  ✓ Medication oversight strategies
  ✓ Current living arrangement and choice of living arrangement
  ✓ If the enrollee’s current living arrangement and choice of living arrangement differ, a goal toward achieving the chosen living arrangement and barriers to be overcome in achieving the goal
  ✓ Records of enrollee’s advance directives, health care powers of attorney, do not resuscitate orders, or a legally appointed guardian
  ✓ If the enrollee resides in an assisted living facility (ALF), services provided by the ALF, including how much and how often the ALF provides those services
  ✓ Identification of any existing plans of care and service providers and assessment of the adequacy of existing services
  ✓ Identification of who is responsible for monitoring the plan of care
  ✓ Case manager’s signature
  ✓ The word-for-word written statement before the enrollee signature field as follows:
    • “I have received and read the plan of care. I understand that I have the right to file an appeal or fair hearing if my services have been denied, reduced, terminated, or suspended.”; and
  ✓ Enrollee or enrollee’s authorized representative’s signature and date

To learn more about the Statewide Medicaid Managed Care Program:

Visit the Agency’s SMMC Program website at www.ahca.myflorida.com/SMMC.
Long Term Care Person-Centered Care Plan

<table>
<thead>
<tr>
<th>Enrollee Personal Profile</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Medicaid ID #</td>
<td>POC Eff. Date</td>
<td>Enrollee Effective Date</td>
</tr>
<tr>
<td>First Name</td>
<td>Last Name</td>
<td>M</td>
</tr>
<tr>
<td>Location</td>
<td>Facility Name</td>
<td>Enrollee Phone #</td>
</tr>
<tr>
<td>Primary Lang.</td>
<td>Adv. Care Planning</td>
<td>Details</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Family &amp; Social History</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Do you have family or friends nearby?</td>
<td></td>
</tr>
<tr>
<td>If yes, how often do you see them?</td>
<td></td>
</tr>
<tr>
<td>What was your profession and/or jobs you worked?</td>
<td></td>
</tr>
<tr>
<td>Do you volunteer or participate in any social groups?</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>What is important to the Enrollee?</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Likes &amp; Dislikes (e.g., activities, hobbies, food, etc.)</td>
<td></td>
</tr>
<tr>
<td>What are your special family / cultural traditions?</td>
<td></td>
</tr>
<tr>
<td>Personal Care or Support Preferences</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>What do we need to know about the Enrollee?</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Rituals / routines that are important to the enrollee</td>
<td></td>
</tr>
<tr>
<td>List any communication limitations</td>
<td></td>
</tr>
<tr>
<td>What method of communication do you prefer?</td>
<td></td>
</tr>
<tr>
<td>What are the enrollee's strengths, preferences and self-care capabilities?</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Member modification of HCBS setting</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Were there any modifications made to the member's HCBS setting since the member's last assessment?</td>
<td></td>
</tr>
<tr>
<td>Provide the specific assessed need for the modification of HCBS setting?</td>
<td></td>
</tr>
<tr>
<td>Does the member's current living arrangement differ from their desired living arrangement?</td>
<td></td>
</tr>
<tr>
<td>What is the member's goal in achieving the desired living environment?</td>
<td></td>
</tr>
<tr>
<td>What are the barriers to the member's choice of living environment?</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>List the people chosen (if any) by the enrollee to participate in their Plan of Care development &amp; reviews:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Relationship and Contact Phone Number</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>beta for 0/1</td>
<td></td>
</tr>
</tbody>
</table>
# Long Term Care Person-Centered Care Plan

**Caregiver/Informal Support Supplemental Assessment**

<table>
<thead>
<tr>
<th>Who does the enrollee live with?</th>
<th>Other:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Can the enrollee be safely left alone?</td>
<td>Notes:</td>
</tr>
<tr>
<td>Are there Caregiver/Informal support available to assist with the enrollee’s needs and care?</td>
<td>Notes:</td>
</tr>
</tbody>
</table>

**Caregiver/Informal Support includes:** supports that are provided to the enrollee. This can include the enrollee’s spouse, family members, neighbors, friends, significant others, and church or community volunteer organizations that are willing to support enrollee as part of their Person Centered Plan.

### Supplemental Assessment: List of Caregiver/Informal Support

<table>
<thead>
<tr>
<th>Name of Individual/Organization</th>
<th>Role &amp; Support Provided</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Services</td>
</tr>
<tr>
<td>1)</td>
<td></td>
</tr>
<tr>
<td>Relationship:</td>
<td></td>
</tr>
<tr>
<td>If Other:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Integer</td>
</tr>
<tr>
<td>Name of Individual/Organization</td>
<td>Role &amp; Support Provided</td>
</tr>
<tr>
<td>2)</td>
<td></td>
</tr>
<tr>
<td>Relationship:</td>
<td></td>
</tr>
<tr>
<td>If Other:</td>
<td></td>
</tr>
</tbody>
</table>

**Additional Narrative/Notes**

beta for 8/1
# Long Term Care Person-Centered Care Plan

## Community Integration: Personal Goal Planning

A goal should address issues that are identified in the care plan to ensure enrollee is integrated into the community. A goal should be built on strengths and includes steps that the enrollee will take to achieve the goal. Goals are reviewed at each visit to include progress of the goal, potential barriers to progress, any changes needed and if the goal has been met. If enrollee refuses to create a goal, then reason must be documented.

<table>
<thead>
<tr>
<th>OBJECTIVE</th>
<th>DATE DEVELOPED</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>OBJECTIVE</th>
<th>DATE DEVELOPED</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>OBJECTIVE</th>
<th>DATE DEVELOPED</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>OBJECTIVE</th>
<th>DATE DEVELOPED</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>OBJECTIVE</th>
<th>DATE DEVELOPED</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## Self Management Plan

The enrollee’s role in managing the physical and social affects and lifestyle changes associated with their chronic condition or a functional limitation.

How are you managing your lifestyle changes due to your current condition?

beta for 6/1
<table>
<thead>
<tr>
<th>Service or Item Type</th>
<th>Service or Item Details</th>
<th>Start Date</th>
<th>End Date</th>
<th>Amount</th>
<th>Frequency</th>
<th>Provider</th>
<th>Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Case Management</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Sunshine Health</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
# Long Term Care Person-Centered Care Plan

<table>
<thead>
<tr>
<th>LTC Service Plan Details</th>
<th>Enrollee Name</th>
<th>Medicaid ID#</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Service or Item Type</strong></td>
<td><strong>Service or Item Details</strong></td>
<td><strong>Timeframe (m/d/y)</strong></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
</tr>
</tbody>
</table>

*beta for 8/1*
Long Term Care Person-Centered Care Plan

<table>
<thead>
<tr>
<th>Service Type</th>
<th>Service Details/Amount &amp; Frequency</th>
<th>Timeframe (m/d/yy)</th>
<th>Payer Source</th>
<th>Provider</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Start Date</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>End Date</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

beta for 9/1
# Long Term Care Person-Centered Care Plan

**Enrollee Name**

<table>
<thead>
<tr>
<th>Behavioral Health - CRH or Non-CRH - Coercing Behavioral Health</th>
<th>Medicaid ID#</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service Type</td>
<td>Timeframe (m/d/y)</td>
</tr>
<tr>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td>Start Date</td>
</tr>
<tr>
<td></td>
<td>Start Date</td>
</tr>
</tbody>
</table>

**Medication Oversight Strategies** [To be reviewed every 90 days]

**Medication Management**

- Please explain enrollee’s medication strategy in the description below, even if no barrier was identified.

**Recommended Strategies or Intervention**

**Description/Details**

**Backup/Contingency Plan** If the service provider does not show the back-up plan will be as follows:

<table>
<thead>
<tr>
<th>Backup Plan</th>
<th>Full Name</th>
<th>Contact number</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Contact SHP LTC plan</td>
<td>Sunshine Health Plan</td>
<td>1-877-211-1995</td>
</tr>
<tr>
<td>☐ Contact the current provider directly</td>
<td>Contact Servicing Provider</td>
<td>Contact Servicing Provider</td>
</tr>
<tr>
<td>☐ Contact designated responsible party:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>☐ Caregiver, ☐ Family, ☐ Friend to provide care,</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>☐ Other (specify):</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>3</td>
</tr>
</tbody>
</table>

I have received and read the plan of care. I understand that I have the right to the an appeal or fair hearing if my services have been denied, reduced, terminated, or suspended.

**Reason for Plan Of Care Review (at least every 90 days)**

<table>
<thead>
<tr>
<th>Care Manager Signature</th>
<th>Date Signed</th>
</tr>
</thead>
</table>

**Individual and/or entity responsible for monitoring the Plan of Care**

<table>
<thead>
<tr>
<th>Enrollee or enrollee’s authorized representative</th>
<th>Date Signed</th>
</tr>
</thead>
</table>

**Signed**: [ ]

**Unable to Sign**: [ ]

**Refused to Sign**: [ ]

**Mailed to POA**: [ ]

beta for 8’1
# Long Term Care Person-Centered Care Plan

## Enrollee Care Plan Summary

Below is a summary of your plan of care that includes your service providers and the services you are receiving. Your care manager has identified services that meet your needs to provide you with appropriate care services.

<table>
<thead>
<tr>
<th>HCBS/Covered Services</th>
<th>Provider</th>
<th>Start Date</th>
<th>End Date</th>
<th>Amount and Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Care Management</td>
<td>Sunshine Health</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

I (enrollee or enrollee authorized rep.) agreed to each individual provider choice for each service above and each service authorization? **Yes**  **No**

I have received and read the plan of care. I understand that I have the right to file an appeal or fair hearing if my services have been denied, reduced, terminated, or suspended.

Reason for Plan Of Care Review (at least every 90 days)  

<table>
<thead>
<tr>
<th>Care Manager Signature</th>
<th>Date Signed</th>
</tr>
</thead>
</table>

Enrollee or Enrollee's Authorized Representative  

<table>
<thead>
<tr>
<th>Date Signed</th>
</tr>
</thead>
</table>

Signed  Unable to Sign  Refused to Sign  Mailed to POA  beta for 8/1
Appendix D: Supplemental Assessment Form

**Caregiver Assessment**

*Please complete the Caregiver Assessment with the member's natural support who are providing care to the member. This excludes paid caregivers. Assessor should conduct one assessment per caregiver.*

**Caregiver Demographics**

- Caregiver Full Name:
  - Male
  - Female
- Caregiver Date of Birth:
- Caregiver Relationship to individual:
  - Wife
  - Husband
  - Partner
  - Parent
  - Other Relative
  - Other Non-relative
- Caregiver Address:
- City:
- State:
- Zip:
- Caregiver Primary Phone Number:
- Alternative Phone Number:
- Do you currently have anyone to assist you with providing care?  
  - Yes
  - No

**Caregiver Questionnaire**

- Do you work outside the home?  
  - Yes
  - No
  - If Yes: Schedule:
- Do you go to school outside the home?  
  - Yes
  - No
  - If Yes: Schedule:
- Do you have other responsibilities outside the home?  
  - Yes
  - No
  - If Yes: Please describe other responsibilities: Schedule:
- Do you currently provide care for this client?  
  - Yes
  - No

If Yes, describe the care you are providing and the number of hours for each service provided:

How many hours per week do you currently spend providing care for the client?

- Less than 6 months
- 6 to 12 months
- 1 to 2 years
- 2 or more years

How long have you been providing care for this client?

Do you need training or assistance in performing caregiving tasks?  
- Yes
  - No
In your opinion, how long can the client be left alone safely?  
- Yes
  - No
Do you experience mental or emotional strain as a result of your responsibility to provide care for the client?  
- Yes
  - No
If Yes, please describe the emotion strain you experience:

**Considering other aspects of your life, please rate the level of difficulty in your:**

<table>
<thead>
<tr>
<th>Relationship with individual:</th>
<th>No Difficulty</th>
<th>Little Difficulty</th>
<th>Some Difficulty</th>
<th>Moderate Difficulty</th>
<th>A lot Difficulty</th>
</tr>
</thead>
<tbody>
<tr>
<td>Relationship with family:</td>
<td>No Difficulty</td>
<td>Little Difficulty</td>
<td>Some Difficulty</td>
<td>Moderate Difficulty</td>
<td>A lot Difficulty</td>
</tr>
<tr>
<td>Relationships with friends:</td>
<td>No Difficulty</td>
<td>Little Difficulty</td>
<td>Some Difficulty</td>
<td>Moderate Difficulty</td>
<td>A lot Difficulty</td>
</tr>
<tr>
<td>Physical Health</td>
<td>No Difficulty</td>
<td>Little Difficulty</td>
<td>Some Difficulty</td>
<td>Moderate Difficulty</td>
<td>A lot Difficulty</td>
</tr>
</tbody>
</table>

Member Name: ____________________________  Member ID Number: ____________
<table>
<thead>
<tr>
<th></th>
<th>Difficulty</th>
<th>Difficulty</th>
<th>Difficulty</th>
<th>Difficulty</th>
<th>Difficulty</th>
</tr>
</thead>
<tbody>
<tr>
<td>Finances:</td>
<td>No Difficulty</td>
<td>Little Difficulty</td>
<td>Some Difficulty</td>
<td>Moderate Difficulty</td>
<td>A lot Difficulty</td>
</tr>
<tr>
<td>Functional Abilities:</td>
<td>No Difficulty</td>
<td>Little Difficulty</td>
<td>Some Difficulty</td>
<td>Moderate Difficulty</td>
<td>A lot Difficulty</td>
</tr>
<tr>
<td>Employment:</td>
<td>No Difficulty</td>
<td>Little Difficulty</td>
<td>Some Difficulty</td>
<td>Moderate Difficulty</td>
<td>A lot Difficulty</td>
</tr>
<tr>
<td>Time for yourself to do the things you enjoy:</td>
<td>No Difficulty</td>
<td>Little Difficulty</td>
<td>Some Difficulty</td>
<td>Moderate Difficulty</td>
<td>A lot Difficulty</td>
</tr>
<tr>
<td>Other responsibilities such as caring for children / other family members, going to school, religious or social activities, etc.:</td>
<td>No Difficulty</td>
<td>Little Difficulty</td>
<td>Some Difficulty</td>
<td>Moderate Difficulty</td>
<td>A lot Difficulty</td>
</tr>
<tr>
<td>Are you willing to provide or continue to provide care or services to the client?</td>
<td>Willing to provide More Care</td>
<td>Willing to provide Same Care</td>
<td>Willing to provide Less Care</td>
<td>Unable to provide any care</td>
<td></td>
</tr>
<tr>
<td>How confident are you that you will have the ability to provide or continue to provide care?</td>
<td>Very confident</td>
<td>Somewhat confident</td>
<td>Not very confident</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If not confident, what is the main reason you may be unable to continue to provide care?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>How many hours per week do you think you could reasonably provide going forward?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Assessor Information**

Is the caregiver in crisis?  Yes  No  If yes, check all that apply:  Financial  Emotional  Physical

Assessor Name:  

Date of Caregiver Assessment:  

47
Appendix E: Template Notice

<<DATE>>

<<ENROLLEE>> and/or

<<LEGAL REPRESENTATIVE>>

<<STREET ADDRESS>>

<<CITY, STATE ZIP>>

PLAN ID: xxxxxxxxxxxxxxxxxxxxxxxx

NOTICE OF ADVERSE BENEFIT DETERMINATION

Dear <<ENROLLEE/LEGAL REPRESENTATIVE>>:

<<LTC PLAN>> has reviewed your request for <<SERVICE and AMOUNT>>, which we received on <<DATE>>. After our review, this service has been:

<<PARTIALLY DENIED, DENIED, TERMINATED, SUSPENDED, REDUCED>> as of <<EFFECTIVE DATE OF ADVERSE BENEFIT DETERMINATION>>

We made our decision because:

(Check all boxes that apply)

☐ We determined that your requested services are not medically necessary because the services do not meet either of the reason(s) checked below: (See Rule)

☐ Meet all of the criteria as defined in Rule 59G-1.010(166), F.A.C., for all nursing facility services and mixed services; OR

☐ Meet all of the following criteria for all extended state plan services used for the purposes of maintenance therapy and all other home and community-based services:
1. Be individualized, specific, and consistent with symptoms or confirmed diagnosis of the illness or injury under treatment, and not in excess of the patient’s needs;
2. Be reflective of the level of service that can be safely furnished, and for which no equally effective and more conservative or less costly treatment is available statewide; and
3. Be furnished in a manner not primarily intended for the convenience of the recipient, the recipient’s caretaker, or the provider;

and one of the following:

1. Enable the enrollee to maintain or regain functional capacity; or
2. Enable an enrollee receiving long-term services and supports to have access to the benefits of community living, to achieve person-centered goals, and live and work in the setting of their choice.

☐ The requested service is not a covered benefit.

☐ Other authority <<explain and cite authority>>

The facts that we used to make our decision are: <<explain>>

SAMPLE This determination of the Medical Director has been made based on medical necessity (as defined by Florida law – specifically see checked box above) and reflects the application of the Plan’s approved review criteria and guidelines. Clinical rationale: for clinician to write – see example for detail below – it would be different for each type of clinician

Example from eQHealth

Clinical Rationale for Decision: The patient is a ____ old with a history of gastroesophageal reflux disease and apnea. The patient is on an apnea monitor. Over the past month, the patient had four reported incidences on the monitor. No skilled interventions were required for these reported events. The patient is on oral _____ every 4 hours and requires positioning after meals. The patient is on two scheduled medications and as needed nebulizer treatments. The patient is currently attending ____ during the day. The request is for skilled nursing for 12 hours per day 7 days per week. The patient lives with his _____ and ____. The clinical information provided does not support the medical necessity of the requested services. The patient does not have any ongoing skilled interventions which would support skilled nursing. Additionally, the patient does not require nighttime monitoring by a skilled nurse.

You, or someone legally authorized to do so, can ask us for a complete copy of your file, including medical records, a copy of plan review criteria and guidelines, contract provisions, other documents, records, and other information relevant to the adverse benefit determination. These will be provided free of charge.
Right to Request a Plan Appeal

If you do not agree with this decision, you have the right to request a plan appeal from <<LTC PLAN>>. When you ask for a plan appeal, <<MANAGED CARE PLAN>> has a different health care professional review the decision that was made.

How to Ask for a Plan Appeal:

You can ask for a plan appeal in writing or by calling us. Your case manager can help you with this, if you have one. We must receive the request within 60 days of the date of this letter. (If you wish to continue your services until a final decision is made on your appeal, we must receive your request sooner. See the “How to Ask for your Services to Continue” section below for details.) Here is where to call or send your request:

<<MCO>>
<<MAILING ADDRESS>>
<<PHONE>>
<<FAX>>
<<EMAIL>>

Your written request for a plan appeal must include the following information:

- Your name
- Your member number
- Your Medicaid ID number
- A phone number where we can reach you or your legal representative

You may also include the following information if you have it:

- Why you think we should change the decision
- Any medical information to support the request
- Who you would like to help with your plan appeal

Within five days of getting your plan appeal request, we will tell you in writing that we got your plan appeal request unless you ask for an expedited (fast) plan appeal. We will give you an answer to your plan appeal within 30 days of you asking for a plan appeal.

How to Ask for an Expedited (Fast) Plan Appeal if Your Health is At Risk:

You can ask for an “expedited plan appeal” if you think that waiting 30 days for a plan appeal decision resolution could put your life, health, or your ability to attain, maintain, or
regain maximum function in danger. You can call or write us (see above), but you need to make sure that you ask us to expedite the plan appeal. We may not agree that your plan appeal needs to be expedited, but you will be told of this decision. We will still process your plan appeal under normal time frames. If we do need to expedite your plan appeal, you will get our plan appeal resolution within 72 hours after we receive your plan appeal request. This is true whether you asked for the plan appeal by phone or in writing.

**How to Ask for your Services to Continue:**

If you are now getting the service that is scheduled to be reduced, suspended or terminated, you have the right to keep getting those services until a final decision is made in a plan appeal and, if requested, fair hearing. If your services are continued, there will be no change in your services until a final decision is made in your plan appeal and, if requested, fair hearing.

If your services are continued and our decision is upheld in a plan appeal or fair hearing, we may ask that you pay for the cost of those services. We will not take away your Medicaid benefits. We cannot ask your family or legal representative to pay for the services.

To have your services continue during the plan appeal, you MUST file your plan appeal AND ask to continue your services within this time frame:

File a request for your services to continue with <<LTC PLAN>> no later than 10 days after this letter was mailed OR on or before the first day that your services are scheduled to be reduced, suspended, or terminated, whichever is later. You can ask for a plan appeal by phone. If you do this, you must then **also** make a request in writing. **Be sure to tell us if you want your services to continue.**

To have your services continue during the fair hearing, you MUST file your fair hearing request AND ask for continued services within this time frame:

If you were receiving services during your plan appeal, you can file the request for your services to continue with the Agency for Health Care Administration (Agency) **no later than 10 days** from the date on your notice of plan appeal resolution OR on or before the first day that your services are scheduled to be reduced, suspended, or terminated, **whichever is later.**

**What to Do if You Disagree with the Appeal Decision**

The plan appeal process will result in a timely notice of plan appeal resolution (notice) that outlines the outcome of the plan appeal. If you still do not agree after you receive our notice, or if you do not receive the notice timely, you can ask for a fair hearing or a review from the Subscriber Assistance Program (SAP).
How to Ask for a Fair Hearing:

When you ask for a Medicaid fair hearing, a hearing officer who works for the state reviews the decision that was made. You may ask for a fair hearing any time up to 120 days after you get our notice of plan appeal resolution. You must finish your appeal process first.

You may ask for a fair hearing by calling or writing to:

Agency for Health Care Administration
Medicaid Hearing Unit
P.O. Box 60127
Ft. Myers, FL 33906

(877) 254-1055 (toll-free)
239-338-2642 (fax)
MedicaidHearingUnit@ahca.myflorida.com

After getting your fair hearing request, the Agency will tell you in writing that they got your fair hearing request.

Right to Request a Review from the Subscriber Assistance Program:

When you ask for a SAP review, a panel of members employed by the State or appointed by the Governor will review the decision that was made. You may ask for a review by the SAP any time up to one year after you get our notice of plan appeal resolution. You must finish your appeal process first. If you ask for a fair hearing, you cannot have a SAP review.

You may ask for a SAP review by calling or writing to:

Agency for Health Care Administration
Subscriber Assistance Program
2727 Mahan Drive, Mail Stop #45
Tallahassee, FL 32308
(888) 419-3456 (toll-free)
After getting your SAP request, the Agency for Health Care Administration will tell you in writing that they got your SAP request.

If you have questions, call us at <<PHONE>> or <<TTY NUMBER>>. For more information on your rights, review the Grievance and Appeal section in your Member Handbook. It can be found online at: <<WEB ADDRESS>>.

**Notice of Nondiscrimination**

<< INSERT NONDISCRIMINATION LANGUAGE >>

Sincerely,

<<NAME>>

<<Medical Director or title of other professional who made the adverse benefit determination in accordance with Attachment II, Section VII.G.4 of the SMMC contract >>
1 Reinhard et al, *Picking Up The Pace of Change: A State Scorecard on Long-Term Services and Supports for Older Adults, People with Physical Disabilities, and Family Caregivers*, Long-Term Services and Supports State Scorecard 2017 Edition, at 5. LTSS scorecard (LTSS State Scorecard: 2017 Ed. AARP et al.) at 5 (about 52% of will at some point develop a severe disability that will require LTSS.)

2 Fla. Stat § 409.979 (1); see also § 1915(c) of the Social Security Act authorizing state Medicaid programs to provide home and community-based services, including services that are not strictly medical in nature, for individuals who would otherwise need care in a nursing home or other institution, are authorized under. 42 U.S.C. § 1396n(c); 42 C.F.R. § 440.180(b).

3 Fla. Stat § 409.979 (3).

4 § 1915(c) of the Social Security Act, 42 U.S.C. §1396n(c).

5 Fla. Stat. §409.979(1)(a)1.


7 42 U.S.C. § 1396a(23).

8 42 U.S.C. §1396n(c)(9).


10 Fla. Stat. §409.964.

11 *Id.*

12 https://ahca.myflorida.com/medicaid/Policy_and_Quality/Policy/federal_authorities/federal_waivers/docs/Final_1915(b)_LTC_Waiver.pdf at 1.

13 https://ahca.myflorida.com/medicaid/Policy_and_Quality/Policy/federal_authorities/federal_waivers/docs/Final_1915(b)_LTC_Waiver.pdf at 92.

14 https://ahca.myflorida.com/medicaid/Policy_and_Quality/Policy/federal_authorities/federal_waivers/docs/Final_1915(c)_LTC_Waiver.pdf.
Note also that the Application for 1915(c) Home and Community –Based Services Waiver (an amendment of the 12/28/16 Waiver effective 12/01/17, while maintaining the maximum number of participants served at any point during the year at 6200, allowed for the unduplicated number of participants to range from 68,709 in Year 1 to 7033 in Year 5. 

https://ahca.myflorida.com/medicaid/Policy_and_Quality/Policy/federal_authorities/federal_waivers/docs/Current_Approved_LTC_Waiver_Document_Effective_12_1_17.pdf at page 27-28 of 230

15


16 Unlike the Section 1115 Waiver authorizing Florida to implement a statewide mandatory managed care system for Medicaid’s general medical services, CMS’ approval of the managed care program for long-term services and supports does not contain any specific agreement between CMS and the State specifying how the state is required to administer the waiver. See https://www.floridahealthjustice.org/medicaid-guide.html at 24, re August 3, 2017, CMS Special Terms and Conditions (STCs) pertaining to the 1115 Waiver’s approval period from August 2017 through June 2022.

17 42 U.S.C. §1396a(a)(5); 42 C.F.R. § 431.10.

18 Fla. Stat. § 409.901(2).

19 See Fla. Stat. § 409.979 (3) for description of DOEA responsibilities in the LTC Waiver; see also https://ahca.myflorida.com/medicaid/Policy_and_Quality/Policy/federal_authorities/federal_waivers/docs/Final_1915(b)_LTC_Waiver.pdf at 4.

20 Fla. Stat. § 409.902(1).

22 Fla. Stat. § 409.979(2)(a); see also https://ahca.myflorida.com/medicaid/Policy_and_Quality/Policy/federal_authorities/federal_waivers/docs/Current_Approved_LTC_Waiver_Document_Effective_12_1_17.pdf at 10.


31 Fla. Admin Code R. 65A-1.716(5)(a); see also http://www.dcf.state.fl.us/programs/access/docs/esspolicymanual/a_09.pdf.

32 http://elderaffairs.state.fl.us/doea/arc.php

33 The 701A Condensed Assessment, incorporated by reference in Fla. Admin. Code r. 58A-1.010 is based upon the 701B Comprehensive Assessment. Local programs complete the 701A assessment tool as an eligibility requirement prior to rendering a service that is funded by the local ADRC. It is administered face-to-face to non-case managed clients in local OAA (Older Americans Act) programs, see, Department of Elder Affairs, Assessment Forms, Instructions, and
Currently, the 701A assessment tool does not have an accompanying instructional tool. However, the 701D Instructions, which serve as a guide for completing the 701B assessment tool, can be used as a guide as the 701A is based on the 701B. Department of Elder Affairs, 701D Instructions. (http://elderaffairs.state.fl.us/doea/forms/701D_Assessment_Instructions.pdf)

34 http://elderaffairs.state.fl.us/doea/forms/701S_Screening_Form.pdf


36 See LTC Waiver Rule at 1-2; Sections 1.3.1; 1.3.9 defining ADLs as including, e.g. bathing, dressing, eating, toileting transferring maintaining continence and IADLs as including those activities necessary to allowing the individual to function independently, e.g. grocery shopping, laundry, light paperwork, money management.

37 Id., see also http://elderaffairs.state.fl.us/doea/notices/Jan13/12-17%20FINAL%20Priority%20Score%20Training.pptx

38 It may be useful to review the training power point provided to interviewers. See http://elderaffairs.state.fl.us/doea/public_training/SMMLTCP/701S%20Training%20-%20Storyline%20output/story_html5.html.

39 It may be useful to review the 701D form which provides standardized instructions for assessors completing the 701B. See http://elderaffairs.state.fl.us/doea/forms/701D_Assessment_Instructions.pdf


41 http://elderaffairs.state.fl.us/doea/SMMCLTC/2014_Priority_Score_Calculation.pdf


45 Fla. Stat. § 409.979(f).


47 Fla. Stat. § 409.979(3)(d), see also the DOEA “Statewide Medicaid Managed Care Long-term Care Program Enrollment Management System Procedures Manual” for a detailed description of
the process by which individuals are released from the wait list and the eligibility and enrollment process. The most recent Manual on-line is from 2014.  
http://elderaffairs.state.fl.us/doea/notices/Jan14/SMMC%20LTC%20EMS%20Procedures%20March%202014.pdf. Pursuant to a public records request, the authors of this Guide are aware of an updated and expanded Enrollment Management System (EMS) Procedures Manual currently in use dated October 2016. This Manual (cover page watermarked “DRAFT”) is cited throughout this Guide and is available on the FLAdvocate website: https://www.fladvocate.org/healthandsenior. (Hereafter “EMS Manual”)

48 EMS Manual.

49 Id. at 4.

50 Id. at 9; see also id. at 1, noting that because there are a limited number of Medicaid recipients who may be enrolled, the frequency of releases from the waitlist varies.

51 Id. at 9-10

52 Id. at 11.


57 EMS Manual at 11.

58 Id. at 17-18.


60 LTC Waiver Rule at Section 1.3.5; see also, http://elderaffairs.state.fl.us/doea/forms/701B_Comprehensive_Assessment.pdf

61 Fla. Stat. § 409.985(3).


It is important to note that the current contract, https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Exhibit_II_B-LTC_2019-02-01.pdf, eliminates the prior requirement that the Plan must authorize and provide services to “Medicaid Pending” enrollees. The current Contract also eliminated the prior provision that allowed enrollees who lose Medicaid eligibility to continue enrollment in the Plan for 60 days, (referred to as the “SIXT period”) and eliminated the requirement that the Plan continue providing services during the SIXT period. See the prior contract here: http://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2018-02-01/EXHIBIT_II-B_Long_term_Care_(LTC)_Managed_Care_Program_Feb_1_2018.pdf at 5-6, (hereinafter referred to as “Prior AHCA-LTC.MCO Contract”).


EMS Manual at 4, see also Fla. Stat. § 409.902(1). Note also: if the DCF denial was due to “failure to submit financial documentation,” requesting a hearing will allow the opportunity to either demonstrate that documentation was provided or allow additional time to find the requested documents.; and in such cases a hearing may not be necessary.

Appeal Hearings Section, 1317 Winewood Blvd. Building 5, Room 255, Tallahassee, FL 32399-0700, Phone 850-488-1429 | Fax 850-487-0662, appeal.hearings@myflfamilies.com.


See link for list of ADRCs and contact information: http://elderaffairs.state.fl.us/doea/arc.php

Fl. Stat. § 409.984(1)(2).


Notably, Florida’s contract provides for a larger time frame (120 days) than the amount required under federal law (90 days); compare https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Attachment_II-Core_Contract_Provisions_2019-02-01.pdf with 42 C.F.R. 438.56(2)(c)(i).

Fla. Admin. Code R. 59G-8.600(b). See also Fla. Stat. § 409.969(2), providing that “the Agency may require a recipient to use the plan’s grievance process before the agency’s determination of good cause...” the Agency has implemented this requirement in the rule, see Fla. Admin. Code R. 59G-8.600(b). see also, https://ahca.myflorida.com/medicaid/Policy_and_Quality/Policy/federal_authorities/federal_waivers/docs/Final_1915(b)_LTC_Waiver.pdf at 33; 42 C.F.R. § 438.56.

It is worth noting that the prior contract contained additional reasons for good cause disenrollment that are no longer in the rule, including:

- The enrollee does not live in a region where the Plan is authorized to provide services.
- The provider is no longer with the Plan
- The enrollee is excluded from enrollment
- The enrollee is prevented from participating in the development of his/her treatment plan/plan of care.
- The enrollee is in the wrong Plan as determined by the Agency.
- The Plan no longer participates in the region.
- The state has imposed intermediate sanctions upon the Plan.
- The enrollee missed open enrollment due to a temporary loss of eligibility.


The February 2019 contract no longer contains a number of requirements for the member handbook. Significantly, there is no longer a specific requirement that all handbooks are required to include the following verbatim statement:

“The purpose of the LTC program is to provide you with an array of services that meet your needs and allow you to live in the setting of your choice. This includes allowing you to live in the community for as long as you choose.”

The current contract also no longer requires the Handbook to explain key elements of how the LTC Waiver works, including:

- the role of the case manager;
- how to access a case manager and services;
- the assessment or re-assessment process;
- the person-centered planning process;
- local education and consumer resources;
- participant direction for certain services; and
- how to access information including the case file.

Compare February 2019 LTC contract with prior February 2018 contract:
http://ahca.myflorida.com/medicaid/statewide_mc/pdf/Contracts/2018-02-01/EXHIBIT_II_B-

https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Exhibit_II_B-

See generally, Home and Community-Based Setting Requirements for Community First Choice and Home and Community-Bases Services (HCBS), 79 Fed. Reg. 2948, 303-31 (Jan 16, 2014)(codified at 42 C.F.R. § 441.301(c)).


86 42 C.F.R. § 441.301(c)(2).


88 42 CFR § 441.301(C)(2)(vi).

89 https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Exhibit_II_B-LTC_2019-02-01.pdf at Sec. VI(E)(5)(b); 42 C.F.R. § 441.301(c)(2)(ix); 42 C.F.R. 441.301(c)(3).

90 42 C.F.R. § 441.301(c)(2)(ix); The requirement that providers responsible for implementing care plan sign the plan is included in the federal regulation but does not appear in the current Contract. A prior contract (from February 1, 2018) required that the primary care provider be sent a copy of the plan of care and advised in writing who to contact with questions regarding adequacy. The current contract’s section on plan of care no longer includes that requirement. https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Exhibit_II_B-LTC_2019-02-01.pdf , Sec. VI(E)(5)(b).


92 Language regarding the right to written notice and appeal of the Plan of Care per se is not entirely consistent vis a vis the Rule, the current Contract and the 1915c application. The Contract requires that the Plan of Care include indication by the enrollee or the enrollee’s representative that they agree or disagree with each service authorization and review and sign the plan. Section VI.E.5.b.(4).

https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Exhibit_II_B-LTC_2019-02-01.pdf at 18. The LTC Rule requires that the Plan of Care be reviewed with the enrollee and include a statement preceding the enrollee’s signature attesting that the plan of
care has been discussed with and agreed to by the enrollee, and the enrollee understands he/she has the right to request a Fair Hearing if services are denied or reduced. The Florida 1915(c) Waiver application unambiguously provides for the right to written notice and an appeal if the enrollee wishes to challenge any part of the care plan. “If the enrollee disagrees with the assessment and/or authorization of placement/services (including the amount and/or frequency of a service), the case manager must provide the participant with a written notice of action that explains the enrollee’s right to file an appeal. The case manager assists the enrollee with filing for an appeal.”

https://ahca.myflorida.com/medicaid/Policy_and_Quality/Policy/federal_authorities/federal_waivers/docs/Current_Approved_LTC_Waiver_Document_Effective_12_1_17.pdf at 149.

93 LTC Waiver Rule at 8, Section 6.2.1.


96 It is important to note that there is still liability for failing to follow up within seven (7) days in Section XIV, Liquidated Damages. https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Exhibit_II_B-LTC_2019-02-01.pdf at 65, No. 9.

97 https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Exhibit_II_B-LTC_2019-02-01.pdf at 20,

98 https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Exhibit_II_B-LTC_2019-02-01.pdf at 16; LTC Waiver Rule at 8, Sec. 6.2.1. See Appendix D for sample Supplemental Assessment Form. Note: the attached sample is not from a current plan; the authors are in the process of obtaining a sample form from a current plan.

99 Application for 1915(c) HCBS Waiver: FL.0962.R01.00 - Jul 01, 2016 pg. 174

100 Application for 1915(c) HCBS Waiver: FL.0962.R01.00 - Jul 01, 2016 pg. 181.

LTC Waiver Rule at 4-8; Note respiratory services were deleted in recent 1915c application at 83-4 but still in contract and statute.

42 C.F.R. § 440.230.

See Alexander v. Choate, 469 U.S. 287 (1985)(holding that Tennessee could “reasonably” limit coverage of inpatient hospital days per year to 11): Curtis v. Taylor, 648 F. 2d 946 (5th circ. 1980) (holding that Florida’s rule limiting physician visits to 3/month did not violate federal Medicaid law.)

Florida changed the definition rule for LTC supportive services following settlement of a statewide class action, Parrales et al. v. Dudek/Senior, N.D. F. 4:15-cv-424-RH/CAS, brought on behalf Plaintiffs enrolled in the LTC waiver who were unable to obtain necessary services. Settlement Agreement is available on the FLAdvocate Health Law website: https://www.fladvocate.org/healthandsenior/.

The LTC Waiver Rule defines mixed services as “services that covered in both the LTC and the Managed Medical Assistance programs. When covered by both the enrollee’s LTC and MMA plans, such services are the responsibility of the LTC plan.” LTC Waiver Rule at Section 1.3.15.

Fla. Admin. Code R. 59G-4.192, incorporating by reference the “Florida Medicaid Statewide Medicaid Managed Care Long-term Care Program Coverage Policy, March 2017, (hereafter the LTC Waiver Rule) at Section 1.3.5.


LTC Waiver Rule at 1, § 1.1.

LTC Waiver Rule at 4, §§ 4.2.

LTC Waiver Rule at 8, § 6.2.1.


Id.

Fla. Stat. §§ 409.982(4); see also 42 C.F.R. §438.68.

The Core Contract cites the “reasonable promptness” requirement in the federal Medicaid statute at 42 U.S.C. 1396a(a)(8).

However, in contrast to the time standards for determining eligibility (45 days for eligibility not dependent upon disability determination; 90 days for determination based on disability), the federal law does not provide numeric standards for what constitutes “reasonable promptness” for services. Thus, disputes have arisen over what is “reasonably prompt” for different services. See, e.g. Doe 1-3 ex rel. Doe Sr. 1-13 v. Chiles, 136 F. 3d 709 (11th Circ. 1998) (finding reasonable promptness provision at 1396a(a)(8) enforceable and requiring state to establish reasonable waiting list time, not to exceed 90 days for individuals eligible for IXCF/MR care.)

The Current Contract requires that enrollees receive medically necessary services “with reasonable promptness (within the meaning of that term as set forth in 42 U.S.C. §1396a(a)(8)).” In turn, Section V required plans to ensure services are started within fourteen (14) days after the plan of care is developed and that the plan of care is developed at the initial meeting (within 5 days of enrollment); thus, in the prior contract there was a requirement that enrollees should begin receiving medically necessary services within 19 days of enrollment.


117 The Core Contract cites to the “reasonable promptness” requirement in the federal Medicaid statute at 42 U.S.C. 1396a(a)(8).

https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Attachment_II-Core_Contract_Provisions_2019-02-01.pdf at 86. However, in contrast to the time standards for determining eligibility (45 days for eligibility not dependent upon disability determination; 90 days for determination based on disability), the federal law does not provide numeric standards for what constitutes “reasonable promptness” for services. Thus, disputes have arisen over what is “reasonably prompt” for different services. See, e.g. Doe 1-3 ex rel. Doe Sr. 1-13 v. Chiles, 136 F. 3d 709 (11th Circ. 1998) (finding reasonable promptness provision at 1396a(a)(8) enforceable and requiring state to establish reasonable waiting list time, not to exceed 90 days for individuals eligible for IXCF/MR care.)

118 The Current Contract requires that enrollees receive medically necessary services “with reasonable promptness (within the meaning of that term as set forth in 42 U.S.C. §1396a(a)(8)).”

https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Attachment_II-Core_Contract_Provisions_2019-02-01.pdf at 86. The Prior Contract’s Network Adequacy Standards (Section VI) required that plans “provide authorized HCBS within the timeframes specified in Section V, Covered Services.” In turn, Section V required plans to ensure services are started within fourteen (14) days after the plan of care is developed and that the plan of care is developed at the initial meeting (within 5 days of enrollment); thus, in the prior contract there was a requirement that enrollees should begin receiving medically necessary services within 19 days of enrollment.

119 https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Exhibit_II_B-LTC_2019-02-01.pdf at 12, see also 2016 federal regulations which were broadened to ensure that enrollees have access to ongoing sources of all appropriate care, including LTSS. 42 C.F.R. 438.208 (b).

120 Id.


122 LTC Waiver Rule at 2, Section 1.3.12.

124 Grievance, appeals, and fair hearings are the same for LTC as for the state’s managed medical assistance (MMA) plans, https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Attachment_II-Core_Contract_Provisions_2019-02-01.pdf at 77-85, Section VII.

125 https://ahca.myflorida.com/Medicaid/complaints/.

126 42 C.F.R. §§438.228; 438.56(d)(5); 59G-8.600(3)(b).

127 42 CFR § 438.400(b); Fla. Admin. Code 59G-1.100(2)(b) (definition of “grievance”)


133 ld. at 80-81.

134 ld. at 82.

135 ld.

However, neither the AHCA contract with LTC plans nor the 1915(b) waiver request specify a time standard for obtaining a service (appointment).

42 C.F.R. § 438.400(b); Fla. Admin. Code 59G-1.100(2)(b) (definition of “adverse benefit determination”).


42 C.F.R. § 438.402 (c)(1)(A); 42 C.F.R. § 438.408(c)(3); Fla. Admin. Code R. 59G-1.100 (3)(b)2-3.


42 C.F.R. § 431.210 et seq.

42 C.F.R § 438.404.

https://ahca.myflorida.com/Medicaid/statewide_mc/pdf/Contracts/2019-02-01/Attachment_II-Core_Contract_Provisions_2019-02-01.pdf at 79, Section VII.E.1, requires that the plan use the template notice provided by AHCA. See Appendix for Template Notice.


150 42 C.F.R. § 438.404(c).

151 42 U.S.C. § 1396a(a)(3).


157 42 CFR 431.240(b); Fla. Admin. Code R 59G-1.100(17)(n),

158 42 CFR 431.242; Fla. Admin. Code R 59G-1.100(12),

159 Fla. Admin. Code R. 59G-1.100 (13).


161 Sample discovery is available on the FLAdvocate Health Law website. https://www.fladvocate.org/healthandsenior/.

162 https://ahca.myflorida.com/medicaid/Policy_and_Quality/Policy/federal_authorities/federal_waivers/docs/Final_1915(b)_LTC_Waiver.pdf at 45.