



## Head of Risk and Compliance

Our client, a leading provider of capital markets and corporate services in Bermuda, is currently seeking a Head of Risk and Compliance to join their team.

The successful candidate will oversee all aspects of statutory and regulatory compliance. They will be responsible for developing local policies and procedures to enhance service delivery and ensure these are implemented, monitored, and regularly reviewed to maintain the highest standards of quality. This role also involves working closely with the Group Risk & Compliance team to ensure alignment with Group Minimum Compliance Standards, along with any other duties required by the position.

### Duties & Responsibilities:

- Acting as the Designated Compliance Officer and Money Laundering Reporting Officer for licensed entities
- Advising the board, executive committee, risk committee, managers, and staff on regulatory compliance, governance, risk management, and best practices
- Overseeing the day-to-day management of the compliance team, including workload allocation, cross-training, and development
- Monitoring regulatory risk exposures and ensuring compliance frameworks are effective and fit for purpose
- Leading the development and maintenance of local policies and procedures in alignment with group standards
- Managing the business acceptance process, including preparing summaries for board sub-committees
- Providing KYC and Customer Due Diligence (CDD) guidance and oversight
- Ensuring timely completion of file reviews and audit checks within service level agreements
- Maintaining compliance registers and monitoring systems
- Serving as the principal contact for the local regulatory authority
- Delivering training on regulatory requirements, internal procedures, corporate governance, and AML
- Attending management meetings and preparing regular compliance and regulatory reports
- Keeping current with regulatory developments through ongoing professional development
- Promoting a strong compliance culture across all levels of the business
- Principal contact for the Bermuda Monetary Authority

### Qualifications, Skills & Experience

- 5 years' experience in a similar role. Educated to Diploma level
- Proven leadership experience with a strong track record in managing and developing teams
- Excellent organisational, communication and interpersonal skills along with a commitment to working as part of a global team
- Strong negotiation skills
- Ability to delegate and develop others' base skill set
- Successfully provides strategic support to practice areas
- Strong technical skills in relation to compliance and data protection legislation are essential
- Ability to produce management information and to deliver projects within given deadlines

To apply please send your résumé to [bdajobs@expertisegroup.com](mailto:bdajobs@expertisegroup.com). All applications are handled in a confidential manner and in accordance with Expertise's Privacy Policy, available on our website