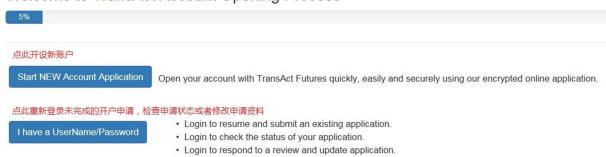


在线开户申请首页

Welcome to TransAct Account Opening Process





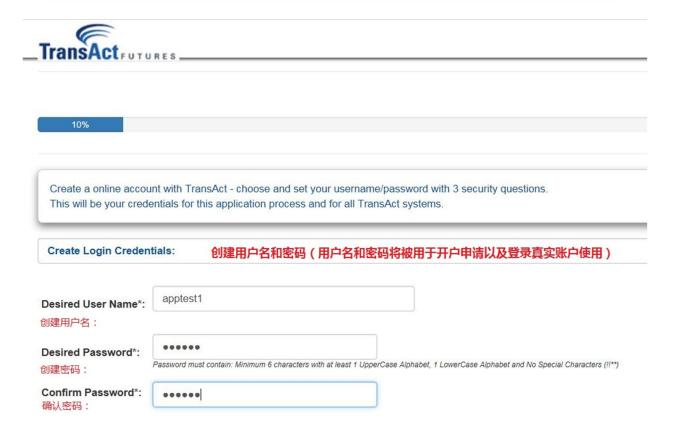
NOTICE TO CUSTOMERS We will provide notice to customers that the firm is requesting information from them to verify their identities, as required by Federal law. We will include the following statement on our account applications to provide notice to customers:

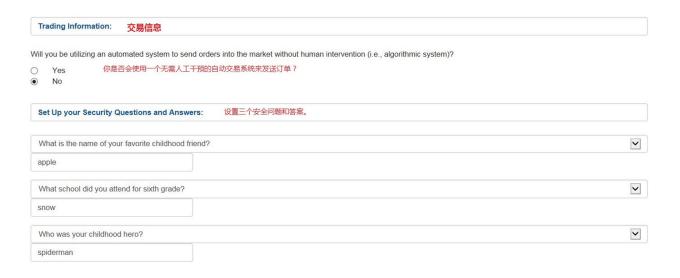
* Important information about procedures for opening a new account

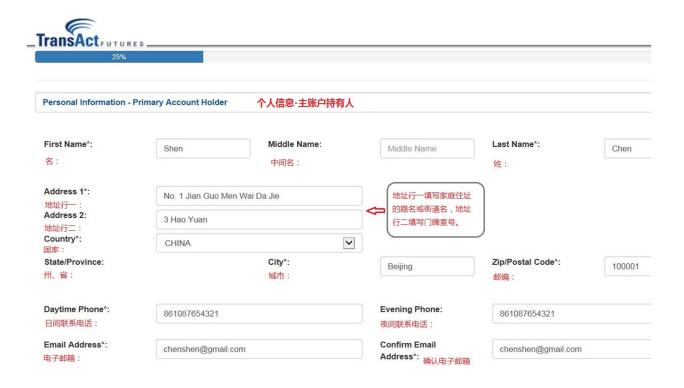
To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means to you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents

,,	DIVIDUAL		
ollected and application of account type selection		sed based on	account type.
点此按钮确认账户类型。		Confirm	Reselect





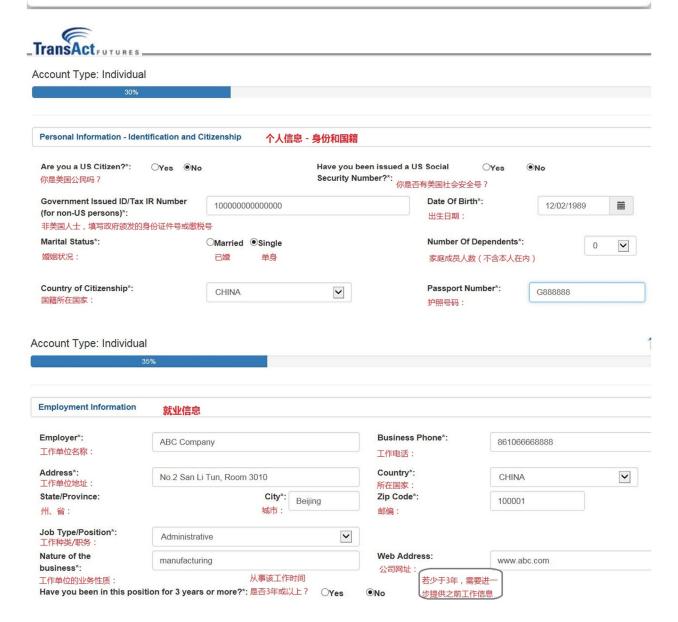


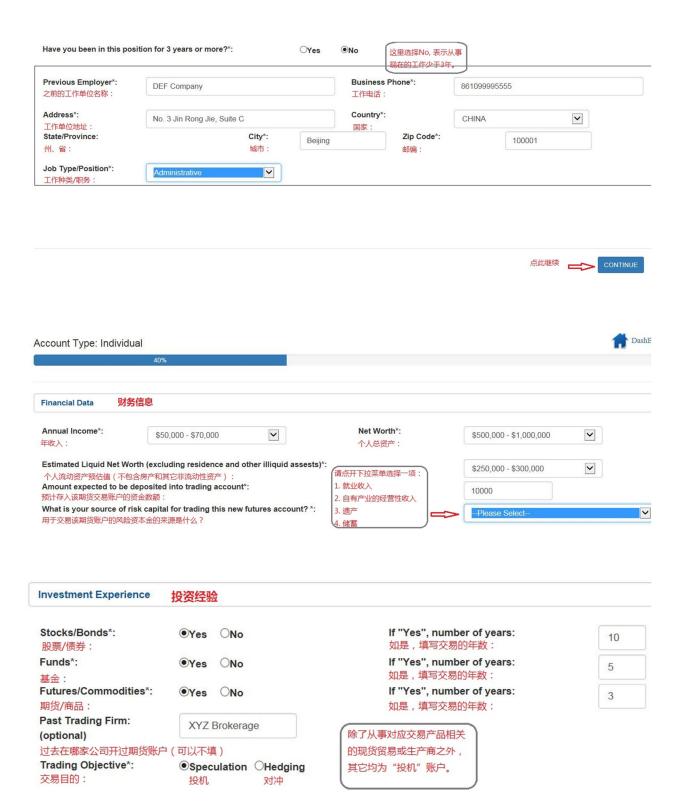
We checked your address with google - Can we use this Address below:

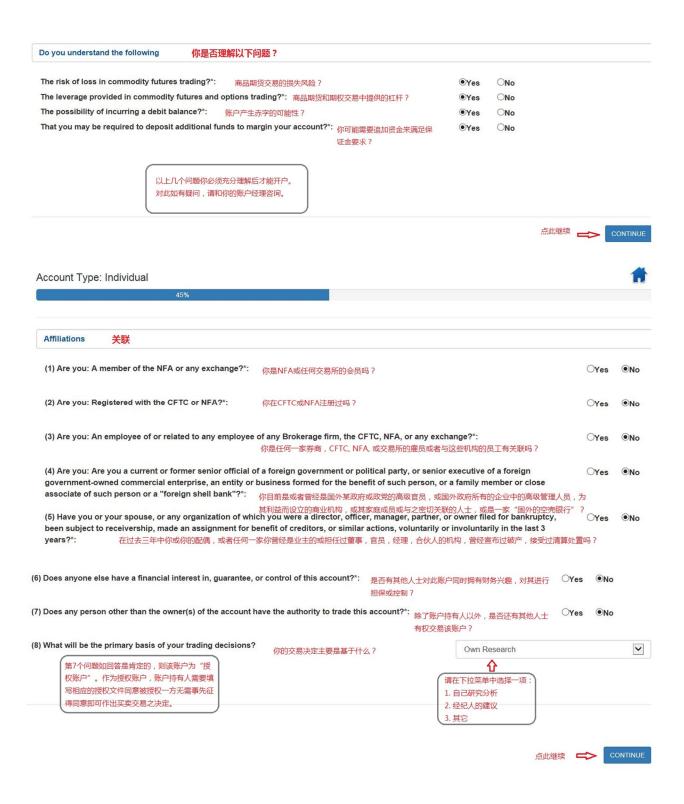
这是系统通过谷歌查询了你的地址信息后得出的结果,若你希望用谷歌的结果就点Yes, 否则就点No, 使用你原有的输入信息。

No Match Found, proceed using the address i entered.

Yes No - Use my inputs







Account Type: Individual

50%

Bank Account Information 银行账户信息

This information may be used by our Treasury Department to identify your incoming deposit. List at least 1 bank account to proceed and you can add upto a maximum of 5 bank accounts

所提供的银行账户信息可能被清算公司财务部门用来识别你的汇入资金。 请至少列明一个银行账户的信息,最多可以列出5个你名下的银行账户信息。

Add Bank Account

1

点此按钮,添加银行账户。

Add a Bank Account 添加一个银行账户 Country*: State*: City*: ~ CHINA Beijing 国家: 州: 城市: Bank Name*: Bank of China 银行名称: Account 12345678910 Number*: 银行帐号: Type of OMoney Market Savings OChecking Account*: 支票账户 货币市场账户 储蓄账户 账户类型:

点此添加账户 🗖

Add Account

Cancel

Account Type: Individual



50%

Bank Account Information

This information may be used by our Treasury Department to identify your incoming deposit. List at least 1 bank account to proceed and you can add upto a maximum of 5 bank accounts

Bank Name	Account Number	Account Type	Country	State	City	
Bank of China	12345678910	Savings	CHINA		Beijing	×







Account Type: Individual

20%

DISCLOSURES AND AGREEMENTS:

- · Scroll down to Read and Agree to proceed.
- · You will have option to review, print all these agreements again before submitting your application
- · Agreements and Disclosures are required to be completed with data inside if needed.

风险声明

RISK DISCLOSURE STATEMENT

The risk of loss in trading commodity futures contracts can be substantial. You should, therefore, carefully consider whether such trading is suitable for you in I should be aware of the following points:

- (1) You may sustain a total loss of the funds that you deposit with your broker to establish or maintain a position in the commodity futures market, and you may moves against your position, you may be called upon by your broker to deposit a substantial amount of additional margin funds, on short notice, in order to ma funds within the time required by your broker, your position may be liquidated at a loss, and you will be liable for any resulting deficit in your account.
- (2) The funds you deposit with a futures commission merchant for trading futures positions are not protected by insurance in the event of the bankruptcy or insevent your funds are misappropriated.

By accepting [or clicking button] this document and forwarding it to transact via the internet, i/we acknowledge that i/we have read and understand the foregoing and intend to rely upon it and that i/we intend to be bound thereby. i/we undertand and agree that my/our electronic signature is the equivalent of a manual signature and that transact may rely upon it as such.

Decline

同意并继续



Agree and Continue



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客户协议

Customer Agreement

Accompanying this Customer Agreement is a "Risk Disclosure Statement for Futures" which is required to be provided to you by the Commodity Futures Trading Commission ("CFTC"). Please read the Risk Disclosure Statement carefully before signing the related acknowledgments contained herein.

In consideration of TRANSACT FUTURES, a division of YORK BUSINESS ASSOCIATES, L.L.C. ("TRANSACT") acting as broker with respect to one or more accounts (the "/-

25. ACKNOWLEDGMENT OF DISCLOSURES AND SIGNATURE

CUSTOMER HEREBY UNDERSTANDS THE CUSTOMER ACCOUNT AGREEMENT AND CONSENTS AND AGREES TO ALL OF THE TERMS AND CONDITIONS OF AGREEMENT SET FORTH ABOVE, CUSTOMER ACKNOWLEDGES THAT TRADING IN COMMODITY INTERESTS IS SPECULATIVE, INVOLVE A HIGH DEGREE OF RISK AN IS APPROPRIATE ONLY FOR PERSONS WHO CAN ASSUME RISK OF LOSS IN EXCESS OF THEIR MARGIN DEPOSIT. CUSTOMER ACKNOWLEDGES THAT CUSTOMER HAS READ, UNDERSTANDS AND ACCEPTS THE RISKS OF USING THE TRANSACT SYSTEM, INCLUDING AUTOMATIC LIQUIDATION.

> I hereby acknowledge that I have read, understand and accept paragraph 10.

By accepting [or clicking button] this document and forwarding it to transact via the internet, i/we acknowledge that i/we have read and understand the foregoing and intend to rely upon it and that i/we intend to be bound thereby. i/we undertand and agree that my/our electronic signature is the equivalent of a manual signature and that transact may rely upon it as such.









Account Type: Individual

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电子交易和订单路由系统声明文件

ELECTRONIC TRADING AND ORDER ROUTING SYSTEMS DISCLOSURE STATEMENT

Electronic trading and order routing systems differ from traditional open outcry pit trading and manual order routing methods. Transactions using an electron rules and regulations of the exchange(s) offering the system and/or listing the contract. Before you engage in transactions using an electronic system, you sl rules and regulations of the exchange(s) offering the system and/or listing contracts you intend to trade.

DIFFERENCES AMONG ELECTRONIC TRADING SYSTEMS

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Decline



Account Type: Individual

209

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关于市场行情数据的通知

UNIFORM NOTIFICATION REGARDING ACCESS TO MARKET DATA

As a market user you may obtain access to Market Data available through an electronic trading system, software or device that is provided or made affiliate of such. Market Data may include, with respect to products of an exchange ("Exchange") or the products of third party participating exchange Exchange's electronic trading platform ("Participating Exchange"), but is not limited to, "real time" or delayed market prices, opening and closing pri settlement prices, estimated and actual volume information, bids or offers and the applicable sizes and numbers of such bids or offers.

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Decline

点此同意并继续 🗉



Agree and Continue

Account Type: Individual

20%

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对于TRANSACT可能作为交易对手的订单操作的授权许可

AUTHORIZATION FOR TRANSACTIONS IN WHICH TRANSACT MAY BE ON THE OTHER SIDE

The customer consents to transactions whereby other customers of TRANSACT, TRANSACT, its managing directors, officers, employees and/or any affiliate or parent company of TRANSACT or any floor broker utilized by TRANSACT may be on the opposite side of orders in Commodity Interests placed for such Customer's Account provided that such transactions are in conformity with regulations of the Commodity Futures

Authorization for Transactions in Which Transact My Be On the Other Side

By accepting [or clicking button] this document and forwarding it to transact via the internet, i/we acknowledge that i/we have read and understand the foregoing and intend to rely upon it and that i/we intend to be bound thereby. i/we undertand and agree that my/our electronic signature is the equivalent of a manual signature and that transact may rely upon it as such.







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有关你的财务隐私权利

NOTICE OF YOUR FINANCIAL PRIVACY RIGHTS

This is our privacy notice for our customers. When we use the words "you" and "your" we mean all of our consumers or customers who have a continuing relative customers who maintain a futures account with us.

We will tell you the sources for nonpublic personal information we collect on our customers. We will tell you what measures we take to secure that information.

THE CONFIDENTIALITY, SECURITY AND INTEGRITY OF YOUR NONPUBLIC PERSONAL INFORMATION

We restrict access to nonpublic personal information about you to those employees who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards that comply with federal regulations to guard your nonpublic personal information.

By accepting [or clicking button] this document and forwarding it to transact via the internet, i/we acknowledge that i/we have read and understand the foregoing and intend to rely upon it and that i/we intend to be bound thereby. i/we undertand and agree that my/our electronic signature is the equivalent of a manual signature and that transact may rely upon it as such.

点此同意并继续 🔷



209



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以下文件适用于授权账户。如果你的账户是授权委托他人(包括商品交易顾问)来交易的,需要签署这个授权文件。

THIS DISCRETIONARY ACCOUNT TRADING AUTHORIZATION AND SUBSEQUENT ACKNOWLEDGMENTS ARE TO BE COMPLETED ONLY IF CUSTOMER IS REPRESE BY AND ACTING THROUGH AN ACCOUNT MANAGER/COMMODITY TRADING ADVISOR.

Customers that authorize other persons to trade in futures contracts and option contracts on their behalf must either (1) complete and execute the Trading Authorization set forth or (2) furnish TRANSACT with such other authorization acceptable to TRANSACT under which Customer gives trading authority over Customer's Account to a third party.

DISCRETIONARY ACCOUNT TRADING AUTHORIZATION

To: TransAct Futures

授权账户的交易授权文件

The undersigned Customer ("Customer") hereby authorizes the below-named Account Manager as Customer's agent and attorney-in-fact to buy and sell (including short sales)

By accepting [or clicking button] this document and forwarding it to transact via the internet, i/we acknowledge that i/we have read and understand the foregoing and intend to rely upon it and that i/we intend to be bound thereby. i/we undertand and agree that my/our electronic signature is the equivalent of a manual signature and that transact may rely upon it as such.

Decline





Agree and Continue

Account Type: Individual

20%



DISCLOSURES AND AGREEMENTS:

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请注意,客户不一定需要同意仲裁协议来完成开户。

THE FOLLOWING ARBITRATION AGREEMENT IS OPTIONAL AND MAY BE DECLINED BY CUSTOMER. PLEASE REVIEW THESE PROVISIONS CAREFULLY BEFORE SIGNING.

ARBITRATION AGREEMENT

仲裁协议

以单个账户持有人名义开户

ACCOUNT OPENING WITH A SINGLE OWNER

This is to confirm that no person or entity has any interest in the Account or Sub-Account(s) to which the signed Customer Agreement pertains to other than m will be investing are solely my own.

If at any time in the future this should change, I agree to notify you immediately.

BACKUP WITHHOLDING and TRANSACTION REPORTING REQUIREMENTS COMBINED W-9, W-8, and 1099-B CERTIFICATIONS

Name: Address: W-9, W-8, 1099-B合并税务申报文件

WARNING

Unless you complete EITHER the W-9 or the W-8 Sections, i.e., you fail to furnish us with the correct taxpayer identification number or an exemption certificate as a "Foreign Person," we must generally withhold 20% of withdrawals and payments form your account. If this account is exempt from REPORTING on Form 1099-B, complete the 1099-B Section.

W-9 Section

Pavers Request for

Part I - For United States Citizens, Legal Entities or Residents, Taxpayer Identification Number. For most individual taxpayers, the taxpayer identification number is the social security number. NOTICE: For individual, joint, custodian and sole proprietorship the social security number is to be

Identification Number use

美国公民,在美注册机构以及在 美居留人士,请填写W-9表。

OR

Employer Identification Number:

Social Security Number:

W-8 Section

非美国人士填写

↑ 在此输入你的姓名

Foreign Person Exemption Initial here Shen Che if this is the account of an EXEMPT FOREIGN PERSON meeting each fo the following requirements:

- 1. You are neither a citizen nor a resident of the United States:
- 2. You have not been nor plan to be in the U.S. for a period aggregating 183 or more days during teh calendar year: and
- 3. You do not expect to engage in trade or business in the United States with respect to which any gain derived from transactions effected by the broker during that calendar year is

effectively connected.

符合以下条件者,填写W-8免税表:

1. 你既不是美国公民也不是在美居留的外国人。

Name: 2. 在本年度内你没有或者计划累积在美国逗留超过183天。

3. 你在本年度内的期货交易所得不会与你在美国从事的任何贸易或者商业活动有效关联。

City: Country:

Street:

CERTIFICATION Under the penalties of perjury, I certify that the information provided on this form is true, correct, and complete for Sections W-9 or W-8 and 1099-B (if applicable).

TRANSACT FUTURES ADDITIONAL RISK DISCLOSURE TRANSACT FUTURES 额外风险声明

The information contained in your account application indicates to us that your current status can be described by at least one of the following conditions:

· Retired, or

· Under 21 years old, or

· Has an annual income of \$25,000 or less, or

• Has a net worth of \$50,000 or less, or

· Less than one year of commodity futures or commodity options trading experience, or

· Funds are for retirement (e.g. IRA, Keogh, etc.)

符合以下情形之一的人士需要签署这份额外风险声明:

Part II - Initial the box if you are NOT subject to

backup withhold under the provision of section

3406(a)(1)(C) of the Internal Revenue code.

1. 退休人士 2. 未满21岁

3. 年收入低于2万5千美元

4. 个人总资产低于5万美元, 5. 期货或期权交易不满1年

5. 资金来自退休养老账户

While Transact Futures is prepared to open your futures trading account, we strongly urge you to carefully consider this decision, given your current situation. Commodity futures trading is generally considered to be risky investment. If you have typically pursued conservative forms of investment in the past, you may wish to study commodity futures trading further before continuing an investment of this nature.

ACKNOWLEDGEMENT

I have considered the financial risks involved in trading commodify futures with regard to my personal situation and I wish to proceed with opening an account. I fully understand the nature of commodity futures trading, and I realize that I can sustain a total loss of any deposited funds. I acknowledge that any funds I commit are purely risk capital, and loss of my investment will not compromise my standard of living, retirement plans, or prior obligations to others.



1. Review the overall status of your application 2. Navigate and finish the pending parts of your application

3. SUBMIT your application for TransAct approval processing.

From this dashboard you can:

至此,你的在线开户申请基本完成了。如下页面显示了你的申请文件完成状

- 态 , Complete表示已完成 , 在递交前你可以 :
- 1. 点View进入某个部分进行查看
- 2. 修改并完成相关内容
- 3. 然后递交申请。

Application Section	Status	Navigate to section
✔Personal Information - Identification and Citizenship	Complete	View
Employment Information	Complete	View
ਓ Financial Information	Complete	View
☑ Affiliations Information	Complete	View
⊘ Bank Account(s) Information	Complete	View
ODocument Upload Center	Optional	View
≰ Agreements & Disclosures	Complete	



点此递交申请 SUBMIT APPLICATION

递交之后,会看到如下提示:

Success! your Application is submitted. We will get back to you shortly with updates about your application status.